Outline

• About the ARC
• Current Programs
  – changes to funding rules; ITRP
• ERA – Looking forward to 2015
• Open Access
• Q&A
Commonwealth Investment in R&D 2013-14

- ARC: 10.2%
- NHMRC: 9.9%
- Other Higher Ed R&D Support: 0.9%
- Rural: 3.9%
- Energy and the Environment: 2.4%
- Multisector Science: Support: 2.4%
- CRCs: 1.7%
- Block Funding to Higher Ed: 21.9%
- Other higher health: 1.0%
- Other Innovation Support: 5.0%
- Other Industry R&D support: 0.1%
- Industry R&D Tax Measures: 19.4%
- Other Govt R&D: 7.4%
- DSTO: 4.9%
- CSIRO: 8.8%

Source: Budget 2013-2014 Industry and Innovation tables
Trends in Government Investment in R&D $M 2004-14

(source: 2013-14 budget tables)
ARC NCGP funding by 2-Digit FOR (%) 2006-2013
Australian Research Grants Committee funding by University - 1974

- Usyd
- Monash
- UA
- UNSW
- Melb U
- UQ
- UWA
- ANU
- Flinders
- UTAS
- UNE
- La Trobe
- Macquarie
- UN
- VIC - non uni
- JCU
- NSW - non uni
- Wollongong UC
- RMC
- WA Non Uni
- Murdoch
- Tas Non-Uni
- QLD Non Uni
- Griffith
- SA Non Uni
- ACT Non-Uni

- Humanities & SS
- Biological Sciences
- Engineering & Applied Sciences
- Earth Sciences
ARC funding awarded by program – last 5 years

- Discovery Projects: 40.3%
- Future Fellowships: 17.3%
- Linkage Projects: 17.1%
- Centres of Excellence: 6.0%
- Australian Laureate Fellowships: 5.1%
- LIEF: 4.3%
- DECRA: 4.1%
- Special Research Initiatives: 2.9%
- Discovery Indigenous: 0.2%
- Other: 2.7%
- Special Research Initiatives: 2.9%
National Competitive Grants Program

**Discovery Projects**

- Laureate Fellowships
- Future Fellowships
- DECRA
- Other Fellowships

**Linkage Projects**

- Centres of Excellence
- Co-Funded & SRI
- LIEF
- ITRP

Area of box represents $$ awarded over the period 2008-2013. N.b. ITRP & DECRA running for less than five years.
Aims of the Discovery Program

• Fund excellent, internationally competitive research by individuals and teams that will produce high quality outcomes

• Build Australia’s research capacity through supporting and facilitating research training and career opportunities for excellent Australian and international researchers

• Support research in priority areas that will deliver national benefits

• Enhance research capacity and outcomes through support for international collaboration
Key funding features of schemes

- Discovery Projects and Discovery Indigenous primarily award project costs (travel, equipment, staff, etc.)
- DECRA primarily awards a salary for the awardee, with a small allocation of project costs
- Laureate Fellowships awards a salary top-up plus funding for a team of postdoctoral and postgraduate researchers
- Each scheme has different selection criteria, rules and funding limits, as each serves a different purpose
Size of scheme and success rates (1)

*Discovery Projects 2008-2014: Success and Return Rates*

- **Unsuccessful**
  - 2008: 3234, 66.5%
  - 2009: 3307, 54.0%
  - 2010: 3143, 56.3%
  - 2011: 3299, 55.3%
  - 2012: 2756, 50.8%
  - 2013: 2693, 60.8%
  - 2014: 2831, 63.8%

- **Successful**
  - 2008: 878, 21.4%
  - 2009: 845, 20.4%
  - 2010: 925, 22.7%
  - 2011: 931, 22.0%
  - 2012: 788, 22.0%
  - 2013: 732, 21.4%
  - 2014: 703, 19.9%

- **Return rate**
  - 2008: 56.5%
  - 2009: 54.0%
  - 2010: 56.3%
  - 2011: 55.3%
  - 2012: 50.8%
  - 2013: 60.8%
  - 2014: 63.8%

- **Success rate**
  - 2008: 21.4%
  - 2009: 20.4%
  - 2010: 22.7%
  - 2011: 22.0%
  - 2012: 22.0%
  - 2013: 21.4%
  - 2014: 19.9%
Size of scheme and success rates (2)

**DECRA 2012-2014: Success Rates**

- **Number of Proposals**
  - 2008: 277 unsuccessful, 200 successful
  - 2009: 1081 unsuccessful, 200 successful
  - 2010: 1268 unsuccessful, 200 successful

- **Success Rate**
  - 2008: 12.80%
  - 2009: 15.60%
  - 2010: 13.62%

- **Graph Details**
  - Y-axis: Number of Proposals
  - X-axis: Year (2008-2014)
  - Color codes:
    - Red: Unsuccessful
    - Green: Successful
    - Black Triangle: Success rate
Size of scheme and success rates (3)

*Discovery Indigenous 2012-2014: Success Rates*

- **2012:**
  - Number of Proposals: 19
  - Success Rate: 34.5%
- **2013:**
  - Number of Proposals: 22
  - Success Rate: 31.3%
- **2014:**
  - Number of Proposals: 16
  - Success Rate: 38.50%
Size of scheme and success rates (4)

**Australian Laureate Fellowships 2009-2013: Success Rates**

- **2009**: 133 Proposals, 10.1% Success Rate
- **2010**: 82 Proposals, 15.5% Success Rate
- **2011**: 122 Proposals, 12.2% Success Rate
- **2012**: 91 Proposals, 15.7% Success Rate
- **2013**: 95 Proposals, 15.2% Success Rate

Number of Proposals

[Graph showing success rates over years]
Size of scheme and success rates (5)

*Future Fellowships 2009-2013: Success Rates*

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Average Awarded Grant Size - DP11 to DP13 - By 2 digit FOR showing awarded amount as a proportion of requested amount
First-time awardees by scheme 2008-14
Participation and success of CIs in DP13 and DP14 by gender and career age

![Graph showing participation and success of CIs by gender and career age.](attachment:image)
The Discovery Program

2014
Key changes to rules (1)

• New format: one set covering all schemes in the Program
• One section dedicated to the Discovery Program covering:
  ✓ Level and Period of Funding
  ✓ Budget Items Supported and Not Supported
  ✓ Eligible Organisations
  ✓ Limits on Projects and Proposals
  ✓ Submission of proposals
  ✓ Selection and approval process
  ✓ Reporting requirements
  ✓ Fundamental principles of conducting research
Key changes to rules (2)

- Discovery Projects now available for 5 years – though note criteria about cost-effectiveness and value for money;
- No DORAs in DP15
- Removal of restrictions on a number of budget items for all schemes (publication costs, web hosting, mobile phones, workshops)
- Increase in ICA $$ within DP to promote internationalisation
- Extension of career interruption time available in DECRA
- Harmonisation of cross-scheme eligibility rules for clarity and consistency
ERA 2010, 2012
Income and Quality

HERDC income Cat 1-3 by rating - 2010

- National Competitive G
- Other Public
- Industry

ERA rating
Income and Quality

HERDC income Cat 1-3 by rating - 2012

ERA rating

2012
ERA 2012 ARC/NHMRC research funding by discipline

$ Millions

2-digit group

ARC

NHMRC
ERA 2012: Two Digit FoR codes
No. of Universities rated at world standard or higher

01 Mathematical Sciences
02 Physical Sciences
03 Chemical Sciences
04 Earth Sciences
05 Environmental Sciences
06 Biological Sciences
07 Agricultural and Veterinary Sciences
08 Information and Computing Sciences
09 Engineering
10 Technology
11 Medical and Health Sciences
12 Built Environment and Design
13 Education
14 Economics
15 Commerce, Management, Tourism and Services
16 Studies In Human Society
17 Psychology and Cognitive Sciences
18 Law and Legal Studies
19 Studies In Creative Arts and Writing
20 Language, Communication and Culture
21 History and Archaeology
22 Philosophy and Religious Studies

World standard  
Above world standard  
Well above world standard
Variation at Four-digit Level: STEM v HASS

Mathematical Studies (01) and Language, Communication and Culture (20)
Number of Universities Rated at World Standard or Higher

- 0101-Pure Mathematics - 2012
  - At World Standard: 6
  - Above World Standard: 6
  - Well Above World Standard: 2

- 0102-Applied Mathematics - 2012
  - At World Standard: 7
  - Above World Standard: 11
  - Well Above World Standard: 2

- 0103-Numerical and Computational Mathematics - 2012
  - At World Standard: 3
  - Above World Standard: 1
  - Well Above World Standard: 1

- 0104-Statistics - 2012
  - At World Standard: 2
  - Above World Standard: 6
  - Well Above World Standard: 1

- 0105-Mathematical Physics - 2012
  - At World Standard: 3
  - Above World Standard: 3

- 2001-Communication and Media Studies - 2012
  - At World Standard: 5
  - Above World Standard: 6
  - Well Above World Standard: 2

- 2002-Cultural Studies - 2012
  - At World Standard: 10
  - Above World Standard: 7
  - Well Above World Standard: 4

- 2003-Language Studies - 2012
  - At World Standard: 1
  - Above World Standard: 2

- 2004-Linguistics - 2012
  - At World Standard: 7
  - Above World Standard: 5
  - Well Above World Standard: 2

- 2005-Literary Studies - 2012
  - At World Standard: 9
  - Above World Standard: 3
  - Well Above World Standard: 5
ERA Outcomes by Cohort

Regional Universities Network

Innovative Research Universities Australia

Group of Eight

Australian Technology Network
Collaboration – ERA 2012

Research Output patterns - all output types
## Interdisciplinary activity

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# Interdisciplinary activity

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ERA Consultations

In preparation for the ERA 2015 Submission process, the ARC is undertaking two consultation processes:

• Consultation on the Release of Submission Documents  
  – Closes 14 February

• Consultation on the Journal and Conference Consultation Interface (JACCI)  
  – Opens 3 February.
Consultation on the Release of Submission Documents includes seeking feedback on the:

a) Submission Guidelines, which provide guidance to institutions about ERA 2015 submission rules and components;
b) Discipline Matrix, which shows the indicators that apply to each Fields of Research (FoR) codes;
c) Business Rules which provide information to institutions about the system checks that SEER will perform against institution submission data to ensure data integrity; and
d) Technical Specifications, which provide technical instructions for institutions preparing and making ERA submissions.

Consultation on JACCI will include seeking feedback on the scholarly, peer reviewed outputs, which will be eligible for submission in the ERA reference period.
Summary of proposed changes to ERA 2015

• Reintroducing conference list (no ranking)
• Book publisher list (for data streamlining and collection only)
• New research output type: Research Report for an External Body
• Gender data (not for evaluation)
• Open access repository (not for evaluation)
• Peer review research outputs must be in ERA repository
• Staff eligibility for 0.4 FTE or less (publication association required)
• Conference citation trial (selected FoRs only)
• Peer review dashboard
Impact

Australian Government
Australian Research Council

Research
Patent/Relative Citation Impact by ERA 2012 Rating (4-digit)

High impact?

High impact and high quality?

High quality?
Collaborating Countries on ARC Linkage Projects Grants 2005-2013

- United States: 26%
- United Kingdom: 15%
- Canada: 7%
- Germany: 6%
- China: 5%
- New Zealand: 5%
- France: 4%
- Sweden: 2%
- Netherlands: 2%
- Switzerland: 2%
- Italy: 2%
- Japan: 3%
- Singapore: 2%
- Others: 17%
Linkage - Instances of Collaboration by Org Type

- Non-Profit - International
- Non-Profit - Australian
- Higher Education - International
- Government - State & Local
- Government - International
- Government - Commonwealth
- Company/Industry Body - International
- Company/Industry Body - Australian

Legend: other
Mapping Engagement:
Linkage Projects vs. Discovery Projects

Strength in scheme
avg. p.a. $LP + $DP

$112m
$50m
$12m

Linkage
Discovery

WA
QLD
NSW
VIC
NT
SA
TAS
ACT
Engagement by Cohort
Strength in Linkage and Discovery Schemes

- Group of Eight
- Non-Aligned
- Innovative Research Universities
- Australian Technology Network
- Regional Universities Network
- Discovery
- Linkage
Engagement by University
Strength in Linkage and Discovery Schemes

Percentage of scheme funds obtained

- The University of Melbourne
- The University of Queensland
- The University of New South Wales
- Monash University
- The University of Western Australia
- University of Wollongong
- Queensland University of Technology
- The University of Adelaide
- Griffith University
- Macquarie University
- University of Technology, Sydney
- University of Tasmania
- RMIT University
- Curtin University of Technology
- La Trobe University
- James Cook University
- Swinburne University of Technology
- University of Western Sydney
- Deakin University
- Murdoch University
- Charles Darwin University
- University of New England
- University of Canberra
- Southern Cross University
- Charles Sturt University
- Victoria University
- Edith Cowan University
- University of Southern Queensland
- University of Ballarat
- Australian Catholic University
- Central Queensland University
- Bond University
- University of the Sunshine Coast
ARC Open Access Policy

• Open Access to publications
  – Starting in January 2013, it is mandated by ARC funding rules that completed projects must make their publications available on an open access repository
  – Implementation – first pubs expected in 2014

• Open Data

• Open Innovation
Open Access
What are the guiding principles of Open Access?

• Societal benefit
• Research benefit
• Individual benefit
ARC Engagement

• The ARC will be canvassing the sector to determine their preparedness and consistency in approach to the new ARC Open Access requirements.

• View is to developing further guidance on issues such as implementation and compliance monitoring.

• CAUL will assist in framing and disseminating some suitable questions.

• ARC will continue to work with CAUL and AOASG and we support their efforts in helping the sector with the transition to Open Access.
Discussion/questions