Outline

• About the ARC
• Current Programs
  – changes / developments
• ERA – Looking forward to 2015
• Open Access
• Q&A
Commonwealth Investment in R&D 2013-14

Source: Budget 2013-2014 Industry and Innovation tables
Trends in Government Investment in R&D $M 2004-14
(source: 2013-14 budget tables)
Trends in Government Investment in R&D % 2004-14
(source: 2013-14 budget tables)
Australian Research Grants Committee 1974 – % funding share compared with ARC NCGP 2013

1974

2013

U Sydney
Monash
U Adelaide
UNSW
U Melbourne
U Queensland
UWA
ANU
Flinders
U Tas
UNE
La Trobe
Macquarie
Newcastle
James Cook
NSW (others)
Wollongong
RMC
WA (others)
Murdoch
Tas (others)
QLD (others)
Griffith
SA (others)
ACT (others)
ARC funding awarded by program – last 5 years

- Discovery Projects: 40.3%
- Future Fellowships: 17.3%
- Linkage Projects: 17.1%
- Centres of Excellence: 6.0%
- Australian Laureate Fellowships: 5.1%
- LIEF: 4.3%
- DECRA: 4.1%
- Special Research Initiatives: 2.9%
- Other: 2.7%
- Discovery Indigenous: 0.2%
- Special Research Initiatives: 2.9%
- Other: 2.7%
- Discovery Indigenous: 0.2%
National Competitive Grants Program

Discovery Projects

- Future Fellowships
- Laureate Fellowships
- DECRA

Linkage Projects

- Centres of Excellence
- Co-Funded & SRI
- LIEF
- ITRP
- Other Fellowships

Area of box represents $$ awarded over the period 2008-2013.
N.b. ITRP & DECRA running for less than five years.
The Discovery Program

2014
Aims of the Discovery Program

• Fund excellent, internationally competitive research by individuals and teams that will produce high quality outcomes

• Build Australia’s research capacity through supporting and facilitating research training and career opportunities for excellent Australian and international researchers

• Support research in priority areas that will deliver national benefits

• Enhance research capacity and outcomes through support for international collaboration
Key funding features of schemes

- Discovery Projects and Discovery Indigenous primarily award project costs (travel, equipment, staff, etc.)

- DECRA primarily awards a salary for the awardee, with a small allocation of project costs

- Laureate Fellowships awards a salary top-up plus funding for a team of postdoctoral and postgraduate researchers

- Each scheme has different selection criteria, rules and funding limits, as each serves a different purpose
Size of scheme and success rates (1)

*Discovery Projects 2008-2014: Success and Return Rates*
Size of scheme and success rates (2)

DECRA 2012-2014: Success Rates

- Unsuccessful
- Successful
- Success rate

Number of Proposals

0 500 1000 1500 2000 2500 3000 3500 4000 4500

2008 2009 2010 2011 2012 2013 2014

- 2008: 277 proposals
- 2009: 200 proposals
- 2010: 200 proposals
- 2011: 1268 proposals
- 2012: 1882 proposals
- 2013: 1081 proposals
- 2014: 1268 proposals

Success rates:
- 2008: 2.80%
- 2009: 15.60%
- 2010: 13.62%
- 2011: 0.0%
- 2012: 20.0%
- 2013: 30.0%
- 2014: 40.0%

GRANTS
Size of scheme and success rates (3)

*Discovery Indigenous 2012-2014: Success Rates*

- **2012**:
  - Number of Proposals: 19
  - Successful: 10 (34.5%)
  - Unsuccessful: 9

- **2013**:
  - Number of Proposals: 22
  - Successful: 10 (31.3%)
  - Unsuccessful: 12

- **2014**:
  - Number of Proposals: 16
  - Successful: 10 (38.5%)
  - Unsuccessful: 6

Success rates: 34.5%, 31.3%, 38.5%
Size of scheme and success rates (4)

*Australian Laureate Fellowships 2009-2013: Success Rates*
Size of scheme and success rates (5)

Future Fellowships 2009-2013: Success Rates
Average Awarded Grant Size - DP11 to DP13 - By 2 digit FOR showing awarded amount as a proportion of requested amount
First-time awardees by scheme 2008-14
Participation and success of CIs in DP13 and DP14 by gender and career age
National Competitive Grants Program

Challenges / developments

Operationally

. Streamlining
. Harmonised funding rules
. Feedback

Strategically

. Success rates
. International engagement
. Linkage engagement
National Competitive Grants Program

Harmonised funding rules

• One set covering all schemes in the Program

• One section dedicated to the Discovery Program
National Competitive Grants Program

Harmonised funding rules

• Discovery Projects now available for 5 years
• No Discovery Outstanding Research Awards
• Increase in ICA $$ within DP to promote internationalisation
• Extension of career interruption time available in DECRA
• Harmonisation of cross-scheme eligibility rules for clarity and consistency
National Competitive Grants Program

ARC Research Opportunity and Performance Evidence (ROPE)

• The ARC is committed to ensuring all eligible researchers have fair access to competitive funding through the National Competitive Grants Program.

• The ARC considers that Research Opportunity comprises two separate elements:
  – Career experiences (relative to opportunity)
  – Career interruptions

• The new ROPE Statement (released Feb 2014) is online at: http://www.arc.gov.au/applicants/rope_statement.htm
Future Fellowships rankings 2013

Futures
Network = Go8
Discovery Projects Grants rankings 2013

Each symbol represents up to 2 observations.
ERA 2010, 2012
Income and Quality

HERDC income Cat 1-3 by rating - 2010

2010
Income and Quality

HERDC income Cat 1-3 by rating - 2012

2012

- National Competitive
- Other Public
- Industry
ERA 2012 ARC/NHMRC research funding by discipline

$ Millions

$3,000

$2,500

$2,000

$1,500

$1,000

$500

$0

ARC

NHMRC

2-digit group

01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22
### ERA 2012: Two Digit FoR codes

No. of Universities rated at world standard or higher

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- **World standard**
- **Above world standard**
- **Well above world standard**
Variation at Four-digit Level: STEM v HASS

Mathematical Studies (01) and Language, Communication and Culture (20)
Number of Universities Rated at World Standard or Higher

- **0101-Pure Mathematics - 2012**: 6 at World Standard, 6 Above World Standard, 2 Well Above World Standard
- **0102-Applied Mathematics - 2012**: 7 at World Standard, 11 Above World Standard, 2 Well Above World Standard
- **0103-Numerical and Computational Mathematics - 2012**: 3 at World Standard, 1 Above World Standard, 1 Well Above World Standard
- **0104-Statistics - 2012**: 2 at World Standard, 6 Above World Standard, 1 Well Above World Standard
- **0105-Mathematical Physics - 2012**: 3 at World Standard, 3 Above World Standard

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- **2001-Communication and Media Studies - 2012**: 5 at World Standard, 6 Above World Standard, 2 Well Above World Standard
- **2002-Cultural Studies - 2012**: 10 at World Standard, 7 Above World Standard, 4 Well Above World Standard
- **2003-Language Studies - 2012**: 1 at World Standard, 2 Above World Standard

**Variation at Four-digit Level: STEM v HASS**

**Mathematical Studies (01) and Language, Communication and Culture (20)**
**Number of Universities Rated at World Standard or Higher**

- **0101-Pure Mathematics - 2012**: 6 at World Standard, 6 Above World Standard, 2 Well Above World Standard
- **0102-Applied Mathematics - 2012**: 7 at World Standard, 11 Above World Standard, 2 Well Above World Standard
- **0103-Numerical and Computational Mathematics - 2012**: 3 at World Standard, 1 Above World Standard, 1 Well Above World Standard
- **0104-Statistics - 2012**: 2 at World Standard, 6 Above World Standard, 1 Well Above World Standard
- **0105-Mathematical Physics - 2012**: 3 at World Standard, 3 Above World Standard

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- **2001-Communication and Media Studies - 2012**: 5 at World Standard, 6 Above World Standard, 2 Well Above World Standard
- **2002-Cultural Studies - 2012**: 10 at World Standard, 7 Above World Standard, 4 Well Above World Standard
- **2003-Language Studies - 2012**: 1 at World Standard, 2 Above World Standard
## Interdisciplinary activity

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- **20-29%**
- **30-39%**
- **> 40%**
### Interdisciplinary activity

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- **20-29%**
- **30-39%**
- **> 40%**
Excellence in research for Australia
Excellence in research for Australia

What we’ve been doing

• Outcomes of ERA 2012 released in December 2012
• Since then:
  - Benefits Realisation review
  - created unique people identifiers
  - developed methods for mining ERA dataset
Public Consultation for ERA 2015

• The Draft ERA 2015 Submission Documents closed for public consultation on 14 February 2014:
  • Draft ERA 2015 Submission Guidelines
  • Draft ERA-SEER 2015 Business Rules and Verification
  • Draft ERA-SEER 2015 Technical Specifications
  • Draft ERA 2015 Discipline Matrix
  • Draft ERA-SEER 2015 Technology Pack.

• Consultation on the Draft ERA 2015 Journal and Conference Lists is open until 14 March 2014.
  http://www.arc.gov.au/about_arc/consultations.htm
ERA 2015 consultations

- Reintroducing conference list (no ranking)
- New research output type: Research Report for an External Body
- Staff eligibility for 0.4 FTE or less
- Peer review research outputs must be in ERA repository
- Book publisher list (for data streamlining and collection only)
- Gender data (not for evaluation)
- Open access repository (not for evaluation)
- Conference citation trial (selected FoRs only)
- Submission Stage 0 (not for evaluation)
- Peer review dashboard (not for evaluation)
- Volume reporting (not for evaluation)
Journal and Conference Consultation Interface (JACCI)

• Conference and journal list consultation opened in February
• All participants must be registered
• The conference list will be available to all disciplines
• Up to 3 FoR codes can be assigned to each conference name
• No Rankings!!!
• Must be academic/scholarly/peer reviewed conferences
Summary of proposed changes to ERA 2015

- Reintroducing conference list *(no ranking)*
- Book publisher list *(for data streamlining and collection only)*
- New research output type: Research Report for an External Body
- Gender data *(not for evaluation)*
- Open access repository *(not for evaluation)*
- Peer review research outputs must be in ERA repository
- Staff eligibility for 0.4 FTE or less *(publication association required)*
- Conference citation trial *(selected FoRs only)*
- Peer review dashboard
Australian Government
Australian Research Council

Impact
Patent/Relative Citation Impact by ERA 2012 Rating (4-digit)

Relative Citation Impact (1.0=world average; Custom World Data from Elsevier Scopus)

Number of patents
Collaborating Countries on ARC Linkage Projects Grants 2005-2013

- United States: 26%
- United Kingdom: 15%
- Canada: 7%
- Germany: 6%
- China: 5%
- New Zealand: 5%
- France: 4%
- Netherlands: 2%
- Switzerland: 2%
- Sweden: 2%
- Italy: 2%
- Singapore: 2%
- Japan: 3%
- Other: 17%

Collaborating Countries on ARC Linkage Projects Grants 2005-2013
Linkage - Instances of Collaboration by Org Type

- Non-Profit - International
- Non-Profit - Australian
- Higher Education - International
- Government - State & Local
- Government - International
- Government - Commonwealth
- Company/Industry Body - International
- Company/Industry Body - Australian
- Other
Mapping Engagement:

Linkage Projects vs. Discovery Projects

Linkage

Discovery

Strength in scheme

avg. p.a. $LP + $DP

$112m

$50m

$12m

RESEARCH
Engagement by Cohort
Strength in Linkage and Discovery Schemes

Group of Eight

Non-Aligned

Innovative Research Universities

Regional Universities Network

Australian Technology Network

Discovery

Linkage
Engagement by University
Strength in Linkage and Discovery Schemes

Percentage of scheme funds obtained

- The University of Sydney
- The University of Melbourne
- The Australian National University
- Monash University
- The University of Queensland
- The University of New South Wales
- The University of Adelaide
- University of Wollongong
- Queensland University of Technology
- The University of Newcastle
- Griffith University
- University of South Australia
- University of Technology, Sydney
- RMIT University
- Curtin University of Technology
- La Trobe University
- James Cook University
- Swinburne University of Technology
- University of Western Sydney
- Deakin University
- Murdoch University
- Charles Darwin University
- The University of New England
- University of Canberra
- Southern Cross University
- Charles Sturt University
- Victoria University
- Edith Cowan University
- University of Southern Queensland
- University of Ballarat
- Australian Catholic University
- Central Queensland University
- Bond University
- University of the Sunshine Coast
ARC Open Access Policy

• Open Access to publications
  – Starting in January 2013, it is mandated by ARC funding rules that completed projects must make their publications available on an open access repository
    http://www.arc.gov.au/applicants/open_access.htm
  – Implementation – first pubs expected in 2014

• Open Data
• Open Innovation
Open Access
What are the guiding principles of Open Access?

• Societal benefit
• Research benefit
• Individual benefit
ARC Engagement

• The ARC will be canvassing the sector to determine their preparedness and consistency in approach to the new ARC Open Access requirements.
• View is to developing further guidance on issues such as implementation and compliance monitoring.
• CAUL will assist in framing and disseminating some suitable questions.
• ARC will continue to work with CAUL and AOASG and we support their efforts in helping the sector with the transition to Open Access.
Discussion/questions