

**AUSTRALIAN RESEARCH COUNCIL
ARC CENTRES OF EXCELLENCE
APPLICATION FORM FOR FUNDING COMMENCING IN 2011**

CE

Project ID:

(to be completed by the ARC):

Centre Director:

Total number of pages contained in this application	
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This Application Form must comply with the requirements of the *ARC Centres of Excellence Funding Rules for funding commencing in 2011*, any content and page limit requirements specified in this form and in the *ARC Centres of Excellence for funding commencing in 2011 Instructions to Applicants*. You can refer to section 14 of the *ARC Centres of Excellence Funding Rules for funding commencing in 2011* for information on submitting and preparing a Proposal Form, particularly section 14.6 for formatting requirements.

[PRIVACY NOTICE] Information on this form and its attachments is collected as part of the application and selection process in order to make recommendations to the Minister on the allocation of financial assistance under the *Australian Research Council Act 2001* and for post-award reporting. The information collected may be passed to third parties for assessment purposes. It may also be passed to the National Health and Medical Research Council, the Department of Foreign Affairs and Trade, the Department of Innovation, Industry, Science and Research, the Department of the Environment, Water, Heritage and the Arts, the Department of Education, Employment and Workplace Relations, the Department of Agriculture, Fisheries and Forestry, the Department of Climate Change, and the Department of Veterans' Affairs for the purpose of checking eligibility. In other instances, information contained in this Proposal can be disclosed without your consent where authorised or required by law.

Part A – Administrative Summary

A1. Organisation to administer funding

A2. Proposal title

Provide a short descriptive title of no more than 20 words. Avoid the use of acronyms, quotation marks and upper case characters. The title must start with 'ARC Centre of Excellence'.

ARC Centre of Excellence

A3. Expression of Interest number (EOI ID)

As provided by the ARC.

A4. Researcher summary

List the researchers who will be involved in the Centre, including the Centre Director (CD), Chief Investigators (CI) and Partner Investigators (PI).

Person number	Family name	Title	Initials	Organisation	Role
1					CD
2					
3					

4					
5					
6					
7					
8					
9					
10					

Add additional rows as required up to a maximum of 30 participants.

A5. Organisation summary

List all the Organisations which will be involved in the Centre, including the Administering Organisation (Admin Org), Collaborating Organisations (Collab Org) and Partner Organisations (Partner Org).

Organisation number	Organisation Name	Organisation Type
1		Admin Org
2		
3		
4		
5		

Add additional rows as required up to a maximum of 30 Organisations.

A6. Summary of proposal

In no more than 100 words of plain language, summarise the aims, significance and expected outcomes of the Centre. Do not use highly specialised or technical terms. Avoid the use of quotation marks and acronyms, and do not use all upper case characters in the text.

A7. Summary of national/community benefit (for public release)

In no more than 100 words of plain language, summarise the national/community benefits that are expected to arise from the Centre.

A8. Years for which support is being sought

Year 1 Year 2 Year 3 Year 4 Year 5 Year 6 Year 7

A9. Have you submitted, or do you intend to submit, a similar Proposal to any other agency?

Yes

No

If Yes, please provide details:

A10. Certification

The Administering Organisation must obtain the written agreement of all researchers and organisations necessary to allow the proposed Centre to proceed.

Certification by the Deputy/Pro Vice-Chancellor (Research) or their delegate or equivalent in the Administering Organisation:

I certify that—

- To the best of my knowledge, all of the information provided in this Proposal is true and complete and I understand that it is an offence under the *Criminal Code Act 1995* to provide false or misleading information.
- This Proposal does not have a significant focus on medical and dental research as defined in the *ARC Centres of Excellence Funding Rules for funding commencing in 2011* and on the ARC website at www.arc.gov.au/applicants/md_research.htm.
- I consent, on behalf of all parties, to the ARC dealing with the Proposal as specified in Appendix A4.2 of the *ARC Centres of Excellence Funding Rules for funding commencing in 2011*.
- I consent, on behalf of all the parties, to this Proposal being referred to third parties, who will remain anonymous, for assessment purposes.
- To the best of my knowledge, the Privacy Notice appearing at the top of this application form has been drawn to the attention of all participants whose personal details have been provided in this Proposal.
- I have notified the ARC of any conflicts of interest relating to parties involved in or associated with this Proposal and agree to notify the ARC of any such conflicts that may arise after the submission of this Proposal.
- I agree, and have obtained the agreement, through the completion of Part F14 for each researcher and signed letters of support from Collaborating and Partner Organisations, to participation and support as listed in this Proposal.
- I agree, and have obtained the agreement of the Collaborating and Partner Organisations, to provide basic facilities and other appropriate facilities and accommodation for the Centre.
- The amount of time that the participants will be devoting to the project is appropriate to existing workloads.
- If the participants listed on this Proposal currently hold Commonwealth-funded project(s) which overlap with the research of this Centre, they agree to relinquish the project funding or they have clearly outlined the research overlap and are not seeking to use ARC Centre funds for this overlapping research.
- All funds for this Centre will only be spent for the purpose for which they are provided.
- The Centre will not be permitted to proceed until appropriate ethical clearance(s) has been obtained.
- I understand and agree that all statutory requirements must be met before the Centre can commence.
- I have read, understood and complied with the *ARC Centres of Excellence Funding Rules for funding commencing in 2011*, and if the Proposal is successful I agree to abide by the terms and conditions of the *ARC Centres of Excellence Funding Agreement for funding commencing in 2011*.

Signed:

Name/Position:

Date:

Part B – Centre Classification and Other Statistical Information

B1. National Research Priorities

Select up to four National Research Priorities. These are listed in Appendix D of the *ARC Centres of Excellence Funding Rules for funding commencing in 2011*.

	National Research Priority Area	National Research Priority Goal
1		
2		
3		
4		

B2. Field of Research (FOR)

Select up to four Field of Research (FOR) six digit classification codes and indicate their relevance to the proposed Centre by percentage. Note that the FOR codes will be considered when selecting assessors to read this Proposal. See www.arc.gov.au/applicants/codes.htm for details on FOR codes.

	Field of Research (FOR)	Field of Research (FOR) %
1		
2		
3		
4		

B3. Socio-Economic Objective (SEO)

Select up to three Socio-Economic Objective (SEO) six digit classification codes and indicate their relevance to the proposed Centre by percentage. See www.arc.gov.au/applicants/codes.htm for details on SEO codes.

	Socio-Economic Objective (SEO)	Socio-Economic Objective (SEO) %
1		
2		
3		

B4. Keywords

Enter up to six keywords. The keywords should be of the kind normally required for submitting an article to a major refereed journal.

	Keywords
1	
2	
3	
4	
5	
6	

B5. If the proposed Centre involves international collaboration, please specify the country/countries involved

	International Collaboration Country Name
1	
2	

Add additional rows as required.

Part C – Case for the Centre

C1. In no more than ten A4 pages provide details on the research program, goals and objectives of the proposed Centre

The Case for the Centre must include the following headings:

- Goals and objectives
- Research program
- Governance, leadership and mentoring
- Outcomes and linkages

The objectives of the *ARC Centres of Excellence* scheme (section 4.2.2 of the *ARC Centres of Excellence Funding Rules for funding commencing in 2011*) must also be taken into consideration when completing this Part.

Part D – Proposed Centre Budget

D1. Centre budget – cash components

All costs should be quoted exclusive of GST. Add additional rows as required.

Income	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7
Requested from ARC							
Administering Organisation (from Part A1)							
Collaborating Organisations and Partner Organisations							
[Organisation 1 Name] (from Part A5)							
[Organisation 2 Name] (from Part A5)							
Total income (a)							

Expenditure	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7
Personnel (total)							
<i>Personnel item</i>							
Equipment (total)							
<i>Equipment item</i>							
Maintenance (total)							
<i>Maintenance item</i>							
Travel (total)							
<i>Travel item</i>							
Other (total)							
<i>Other item</i>							
Total expenditure (b)							
Cash balance (a-b)							

D2. Centre budget – in-kind contributions

All costs should be quoted exclusive of GST. Add additional rows as required.

	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7
Administering Organisation (from Part A1)							
Personnel							
Equipment							
Other							
Total							
[Organisation 1 Name] (from Part A5)							
Personnel							
Equipment							
Other							
Total							
[Organisation 2 Name] (from Part A5)							
Personnel							
Equipment							
Other							
Total							

Repeat for each organisation as necessary.

Part E – Budget Justification

E1. Justification of funding requested from the ARC

In no more than three A4 pages, fully justify in terms of need and cost each expenditure item which will be funded through the ARC request (use the same headings as in the Expenditure table at Part D1).

E2. Description of funding provided from organisations other than the ARC

In no more than three A4 pages, provide a description of how non-ARC contributions (cash and in-kind) will support the proposed Centre (use the same headings as in the Expenditure table at Part D1).

Part F – Personnel

Part F must be completed for each participant listed in Part A4 (Researcher summary).

F1. Person number

F2. RMS User ID

F3. Personal details

Family name		Role	
First name		Second name	
Title			
Department/school/other			
Organisation			
Email		Phone	

F4. Postal address

Department/school/other	
Organisation	
Postal address line 1	
Postal address line 2	
Locality	
State	
Postcode	
Country	

F5. Are you a current member of the ARC or its selection or other advisory committees?

Yes No

F6. Are any of your relatives or close social/professional associates members of the ARC or its selection or other advisory committees?

Yes No

If Yes, please name these people

F7. Qualifications

Please list all your qualifications beginning with the most recently awarded.

Degree/Award	Year	Discipline/Field	Organisation and Country

F8. Current and previous appointment(s)/positions(s) – during the past 10 years

For ‘Status’ – enter one of the following: ‘Postgraduate’, ‘Continuing’, ‘Fixed-term’, ‘Currently not employed’ or ‘Other’, where ‘Continuing’ means an ongoing tenured position and ‘Fixed-term’ means a non-ongoing position (a job held for a defined period, e.g. 3 year contract).

Position held	Organisation	Department	Year Appointed and Status

Add additional rows as necessary.

F9. Organisational affiliations for eligibility purposes for this Proposal

Name of the organisation you will be associated with for the purposes of satisfying the eligibility requirements for your nominated role in undertaking the proposed research (i.e. for a CI this will usually be the Eligible Organisation at which they will be employed or hold an adjunct appointment as at 19 April 2010; and for PIs it will generally be their main employer as at 19 April 2010).

Role	Organisation	Type of Affiliation

If on 1 January 2011 the organisation you will be associated with is different from the above, please provide details.

Role	Organisation	Type of Affiliation

F10. What will be your time commitment (%FTE) at the Centre?

F11. Your role within the Centre

In no more than one A4 page, please provide a statement on your role within the Centre, focusing on your contribution to the Centre’s research program, operations and management as appropriate.

F12. Research record relevant to opportunities

F12.1 Details on your career and opportunities for research over the last five years, incorporating evidence of collaboration, mentoring and leadership. Limit of one A4 page.

Provide and explain:

- i. The number of years it has been since you graduated with your highest educational qualification;
- ii. The research opportunities that you have had in the context of your employment situation (e.g. early career researcher), the research component of your employment conditions, and any unemployment or part-time employment you may have had;
- iii. Whether you are a research-only, teaching and research, teaching-only, teaching and administration, research and administration, or administration-only academic, giving any additional information (e.g. part-time status) needed to understand your situation. Give an indication of what percentage of time you have spent over the last five years in those roles;
- iv. Any career interruptions you have had for childbirth, carer's responsibility, misadventure, or debilitating illness;
- v. The research mentoring and research facilities available to you; and
- vi. Any other aspects of your career or opportunities for research that are relevant to assessment and that have not been detailed elsewhere in this Proposal (e.g. any circumstances that may have slowed down your research and publications) or affected the time you have had to conduct and publish from research.

F12.2 Recent significant publications (2005 onwards). Limit of four A4 pages.

Provide a list of research publications published in the last five years split into five categories: (1) scholarly books, (2) scholarly book chapters, (3) refereed journal articles, (4) refereed conference papers only when the paper was published in full in the proceedings and (5) other. You must number your publications continuously. Asterisk all publications relevant to this Proposal. (Please indicate publications produced from ARC grants by listing the grant identification number for the project.)

F12.3 Ten career-best publications. Limit of two A4 pages.

Provide the full references of your ten best publications. If any of these publications are a result of ARC funding then they must be acknowledged as described in Part F12.2. Include a statement of a maximum of 30 words explaining and justifying the impact or significance of each publication. Asterisk all publications relevant to this Proposal.

F12.4 Further evidence in relation to research impact and contributions to the field over the last ten years. Limit of one A4 page.

In this section provide information on the following:

- i. Research outputs other than publications. Other research outputs might include consultancies, patents and policy advice, competitive grants and other research support, major exhibitions, compositions or performances, other professional activities, or other outputs; and
- ii. Evidence for the quality of all your research outputs including those in Parts F12.2 and F12.3. Assess the impact of your research for all of your outputs relative to opportunity and in the context of discipline expectations. Include a range of research evaluations (e.g. citations, evaluation of the publication-the journal, the book publishing house, the conference etc; other measures of impact; honours and awards/prizes; other esteem measures; and any other evaluations of your outputs).

F13. Citizenship/residency details

Australian citizen? Yes <input type="checkbox"/>	No <input type="checkbox"/>
Country/ies of citizenship	
Country of residence	
Current Australian residency status	

F14. Researcher participation agreement

I have read and understand the *ARC Centres of Excellence Funding Rules for funding commencing in 2011*, and as required under the Funding Rules, agree to my time commitment and role as outlined in this Proposal.

Yes No

Part G – Other Personnel Associated with the Centre

G1. For other persons involved in the Proposal, (e.g. Associate Investigators) who are not the Centre Director, CIs or PIs listed at Part A4 (Researcher summary), the following may be provided, no more than two A4 pages per person, including all the information below:

- a. Full name
- b. Qualifications (Type and year of award for each award)
- c. Current appointment (Organisation and year of appointment; please include level and year of appointment to each level)
- d. List of relevant publications (up to six most significant from past five years; up to four additional career-best; the number of books, peer-reviewed research publications, and un-reviewed research publications over the past five years)
- e. A brief description of their expertise, proposed roles, contributions and time commitment (%FTE) to the Centre

Part I – Potential Overlap of Commonwealth Funding

I1. For each researcher on the proposal, please attach a statement detailing the potential overlap of any current Commonwealth grant(s) held with the proposed Centre research program.

This statement must identify if the grant is to be relinquished. If the current grant is not going to be relinquished, the statement must explain how the currently held project complements but does not duplicate the proposed research program of the Centre. Each statement must be no more than half an A4 page.

Project ID	<input type="text"/>	First named Investigator	<input type="text"/>
Scheme	<input type="text"/>	Agree to Relinquish	<input type="text"/>

Statement:

Project ID	<input type="text"/>	First named Investigator	<input type="text"/>
Scheme	<input type="text"/>	Agree to Relinquish	<input type="text"/>

Statement:

Project ID	<input type="text"/>	First named Investigator	<input type="text"/>
Scheme	<input type="text"/>	Agree to Relinquish	<input type="text"/>

Statement:

Project ID	<input type="text"/>	First named Investigator	<input type="text"/>
Scheme	<input type="text"/>	Agree to Relinquish	<input type="text"/>

Statement:

Add additional tables as necessary.

Part J –Collaborating Organisations Details

Please complete a Part J for each Collaborating Organisation listed in Part A5 (Organisation summary).

J1. Collaborating Organisation contact details

Please provide the Collaborating Organisation’s contact details below.

Family name					Title		
First name			Second name				
Position							
Title							
Phone				Fax			
Email							
Organisation							
Postal address line 1							
Postal address line 2							
Locality		State		Postcode		Country	

J2. Letters of support for Collaborating Organisations

Each Collaborating Organisation’s letter of support has a page limit of three A4 pages. Please refer to the *ARC Centres of Excellence Funding Rules for funding commencing in 2011* and *Instructions to Applicants* for Collaborating Organisation letters of support requirements.

Part K – Partner Organisation Details

Please complete a Part K for each Partner Organisation listed in Part A5 (Organisation summary).

K1. Partner Organisation contact details

Please provide the Partner Organisation’s contact details below.

Family name				Title	
First name		Second name			
Position					
Title					
Phone		Fax			
Email					
Organisation					
Postal address line 1					
Postal address line 2					
Locality		State		Postcode	
				Country	

K2. Other Partner Organisation details

Australian Business Number (ABN)

Web page address

ANZSIC

Organisation type

K3. Partner Organisation letter of support

The Partner Organisation letter of support has a page limit of three A4 pages. Please refer to the *ARC Centres of Excellence Funding Rules for funding commencing in 2011* and *Instructions to Applicants* for Partner Organisation letters of support requirements.