General ERA Principles

1. Unit of Evaluation is the four-digit ANZSRC Field of Research code (ie. 157 possible Units of Evaluation); evaluation occurs at the two-digit level as well

2. Evaluation by Research Evaluation Committees in discipline clusters; eight clusters in total

3. There is a minimum level of output for a discipline to be considered ‘research active’ for evaluation in ERA

4. Evaluations informed by a ‘dashboard’ of discipline-specific indicators

5. Some peer review of outputs accessed through institutional repositories in some clusters
ERA Process Overview

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Peer Review (if included)

Note - There are no weightings!

Research Evaluation Committee

Final report
Mythbusting - Ranked Outlets

- Only one of a number of unweighted indicators on the “Dashboard”

- Ranked conferences are essential for ICT, Engineering & Built Environment

- Note discipline-specific practices
Role of the ranked outlets list in ERA

- Ranked journals
- Ranked conferences
  - FoR assignments
  - Ranks used for ranked journal/conference profile
  - FoR assignments used to derive discipline specific benchmarks for citation analysis
Developing the ranked journal list

- Initial development by Learned Academies and Peak bodies
- Public consultation (June-Aug 2008)
- Expert Review of the public feedback
- Omitted journal public feedback (Aug-Nov 2009)
- Final expert review of consolidated list (Dec 2009 – Jan 2010)
Parameters for inclusion in the lists

- academic/scholarly
- publishes original research
- peer reviewed or equivalent process
- active during the ERA reference period (2003-2008)
- has an ISSN
- able to withstand international scrutiny
The 2010 Ranked Outlet Lists

- The 2010 lists are fixed for this ERA evaluation

- ERA 2010 Ranked Journal List
  - 20,712 journals included
  - Over 88% agreement on ranks and FoR

- ERA 2010 Ranked Conference List
  - 1,952 conferences included
### ERA 2010

#### Background Statement

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- Journal articles and listed conferences are apportioned against the FoR codes of the ERA Journal and Conference Lists.
- All other outputs and eligible researchers can be assigned and apportioned to up to 3 four-digit FoR codes of the institution’s choice.
- Staff must be employed on the census date to count towards ‘FTE’.
- All disciplines use Ranked Journals as an indicator; only some FoRs use Ranked Conferences.
- Where Ranked Conferences is applicable, institutions must apportion against the FoR codes of the ERA Conference list.
- Where an output of a listed conference does not belong to the listed FoR, institutions can submit the output as a non-listed conference and assign up to three FoRs of their choice.
- Only applies to journal articles
- Low volume threshold is 50 apportioned indexed journal articles.
- The citation supplier for 2010 is Scopus.
- The citation census date is 1 March 2010.
- Applies to a range of outputs including journal articles, books, book chapters, creative outputs, etc.
- Low volume threshold is 30 apportioned outputs (any type).
- Institutions nominate 20% of total output for a FoR for peer review.
- Institutions can select up to 3 four-digit FoRs (apportioned) for each individual esteem measure.
- Esteem must be linked to a listed staff of the institution.
- Individuals researcher cannot be identified through the esteem measures.
- Institutions can select as many four-digit FoRs (apportioned) for each income item submitted.
- Number of grants is collected for Category 1 income only.
- FTE is used as a denominator for all Categories.
- Category 3 income is disaggregated into the 3 subcategories (Australian, International A and B).
- Institutions can select up to 3 four-digit FoRs (apportioned) for each applied measure submitted, except for commercialisation income where there are no limit on the number of four-digit FoR codes submitted.
- Applied measures are linked to the institution, not the individual.
Questions