

AUSTRALIAN RESEARCH COUNCIL  
ARC CENTRE OF EXCELLENCE IN POLICING AND SECURITY  
APPLICATION FORM

SRI

**Project ID:** (ARC use only)

(Note: You must read the *Special Research Initiative Funding Rules 2006* (2006 SRI Funding Rules) and the *Call for Proposals for an ARC Centre of Excellence in Policing and Security* (the Call for Proposals) before filling out this form.)

*Information on this form is collected in order to make recommendations to the Minister on the allocation of financial assistance under the Australian Research Council Act 2001 and for post award reporting. The information collected may be passed to third parties for assessment purposes. It may also be passed to the National Health and Medical Research Council, the Department of Foreign Affairs and Trade, the Department of Industry, Tourism and Resources, the Department of the Environment and Heritage, the Department of Education, Science and Training, the Department of Agriculture, Fisheries and Forestry and the Department of Veterans' Affairs for the purpose of checking eligibility. In other instances, information contained in this Proposal can be disclosed without your consent where authorised or required by law.*

Total number of sheets contained in this application	
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**PART A—ADMINISTRATIVE SUMMARY**

**A1 ORGANISATION TO ADMINISTER FUNDING**

*(See Appendix 1 of the Call for Proposals for a list of Eligible Organisations)*

Name

**A2 PROPOSAL TITLE**

Enter the proposed name of the Centre.

(The ARC will determine the name for the Centre on the advice of the Administering Organisation. Unless agreed otherwise by the ARC, the name of the Centre must commence "ARC Centre of Excellence for...".)

### A3 PARTICIPANT SUMMARY

#### A3.1 Participant Details

(See Section 4.2 of the Call for Proposals for investigator types, roles and eligibility requirements)

Enter the details of the Project Leader at Person 1. This person is the Interim Director (ID). Enter details of any other specified personnel who will be responsible for the conduct of the initiative and who will be Chief Investigators (CI) or Partner Investigators (PI).

The column headed "Organisation for eligibility purposes" requires the name of the relevant organisation the person is employed by/associated with for the purposes of satisfying the eligibility requirements for the relevant role. For eligibility details for the ID and CIs refer to Sub-Sections 4.2.4 – 4.2.7 of the *Call for Proposals* and for PIs refer to Sub-Section 4.2.8.

Person number	Family name	Initials	Current Organisation	Role ID/CI/PI	Organisation for eligibility purposes
1				ID	
2					
3					
4					
5					
6					
7					
8	<i>Add rows as necessary</i>				

#### A3.2 Collaborating Organisations (Not Including the Organisation at A1 above).

List all Collaborating Organisations (this includes organisations who will be contributors to the Centre, which are not the Administering Organisation). In addition to the organisations to which the CIs and PIs belong, and which are required to make a contribution to the proposed Centre, other Collaborating Organisations which are not contributing personnel to the Centre may be included here.

Org. Number	Collaborating Organisation Name
1	
2	
3	
4	
5	
6	
7	
8	<i>Add rows as necessary.</i>

### A4 ARC FUNDING ASSISTANCE REQUESTED (\$)

YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR 5	TOTAL



**A7 ADDITIONAL DETAILS**

**A7.1 Intellectual Property**

Does the Administering Organisation have arrangements to manage intellectual property and/or facilitate commercialisation of research?

Yes  No

If Yes, in no more than 100 words of plain language, please summarise these arrangements.

**A7.2 Have you submitted a similar proposal to any other organisation since 1 January 2004?**

Yes  No

If Yes, please provide details.

**A7.3 Is funding for this proposed Centre contingent on support from elsewhere?**

Yes  No

If Yes, please provide details.

**A8 RESEARCH STUDENTS**

The ARC is interested in reporting the number of Research Students that would be involved in this Proposal if it is funded. Please enter the number of student places (full-time equivalent) that will be filled as a result of this project:

Number of Research Student Places (FTE) –

PhD	<input type="text"/>
Masters	<input type="text"/>
Honours	<input type="text"/>

## A9 CERTIFICATION

(The pages for A9 must be printed out and the Administering Organisation must obtain the required handwritten signatures on these pages. The signed pages must then be electronically scanned in JPEG format and inserted into the completed electronic Proposal at the appropriate location and submitted as part of the electronic version of the Proposal. Following this the original signed hardcopy pages for A9 must be inserted into the appropriate spot in the paper version of the completed Proposal and submitted to the ARC.

In addition, the Administering Organisation must obtain the agreement and hand-written signatures of all parties necessary to allow the proposed research to proceed. These agreements and signatures are to be retained by the Administering Organisation which must provide these Certifications if requested. A form is available for this purpose on the ARC Web Site (<http://www.arc.gov.au>). The Administering Organisation should retain this form for their records.)

### A9.1 Proposal Title

Please insert same title as has been entered at A2 of this application form.

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### A9.2 Certification by the Interim Director

I certify that:

- to the best of my knowledge the details provided in this application form and in any supporting documentation are true and complete.
- to the best of my knowledge, the Privacy Notice appearing at the top of this application form has been drawn to the attention of all the participants whose personal details have been provided at Part B.
- I understand that it is an offence under the *Criminal Code Act 1995* to provide false or misleading information.

Signature of Interim Director (first named CI in A3.1)	Name and Organisation (please print)	Date dd/mm/yyyy

### A9.3 Certification by the Deputy/Pro Vice Chancellor (Research) or their delegate or equivalent in the Administering Organisation

I certify that—

1. I have obtained the written agreement of all parties identified in this Proposal to submit this Proposal.
2. Proper inquiries have been made and I am satisfied that the participants listed in Part A3 meet the requirements specified in the 'Special Research Initiatives Funding Rules for Funding commencing in 2006' and the *Call for Proposals for an ARC Centre of Excellence in Policing and Security*.
3. This organisation will contribute the resources specified in this proposal if the proposal is successful.
4. This organisation supports this Proposal and if successful will provide the basic infrastructure to the extent specified in the proposal and the items listed in the budget for the project.
5. I have obtained the written agreement of the other organisations involved in this Proposal to contribute the resources outlined in this proposal.
6. I have obtained the written agreement from the relevant employer(s) for the participation, to the extent indicated in this Proposal, of the CIs and PIs listed in this Proposal.

7. I am prepared to have the project carried out under the circumstances set out in this Proposal and in accordance with the 'Special Research Initiatives Funding Rules for Funding commencing in 2006' and the *Call for Proposals for an ARC Centre of Excellence in Policing and Security*.
8. The amount of time that the investigator(s) will be devoting to the project is appropriate to existing workloads.
9. This Organisation will ensure that the project can be accommodated within the general facilities in this organisation including, where necessary, sufficient working and office space is available for any proposed additional staff.
10. All funds for this project will be spent only for the purpose for which they were provided.
11. The project will not be permitted to proceed until appropriate ethical clearances have been obtained.
12. I will notify the ARC if there are changes to the participants listed in Part A3.1 or the organisations listed Part A3.2 after the submission of this Proposal.
13. I consent, on behalf of all of the parties, to the ARC copying, modifying and otherwise dealing with information contained in the Proposal for the purposes related to: the evaluation and assessment of the Proposal; verifying the accuracy, consistency and adequacy of information contained in the Proposal, or otherwise provided to the ARC; the preparation and management of any funding agreement; and the administration and management of the ARC's *Special Research Initiatives* scheme or any other scheme or funding administered by the ARC.
14. I consent, on behalf of all of the parties, to this Proposal being referred to third parties, who will remain anonymous, for assessment purposes.
15. To the best of my knowledge, the Privacy Notice appearing at the top of this Application Form has been drawn to the attention of all the participants whose personal details have been provided at Part B.
16. To the best of my knowledge all details in this Proposal are true and complete and no information specifically relating to personnel track or publication records is false or misleading.
17. I understand that it is an offence under the *Criminal Code Act 1995* to provide false or misleading information.
18. I understand and agree that all statutory requirements must be met before the proposed research can commence.

<b>Signature of DVC/PVC(R) or delegate or equivalent officer</b>  (in black ink)	<b>Name</b> (Please print)	<b>Title</b> (Please print)	<b>Date</b> dd/mm/yyyy

<b>Contact Officer for Administering Organisation</b>  Name	<b>Email</b>	<b>Address for Correspondence</b>	<b>Telephone</b>

**A9.4 Certification by a Chief or Partner Investigator from each Organisation**

(For each organisation which has a CI or PI listed in Part A3.1 provide the handwritten signature of one of the CIs or PIs from that organisation).

I certify that to the best of my knowledge the details provided in this Proposal and in any supporting documentation are true and complete.

Signature of Chief or Partner Investigator	Name and Organisation (please print)	Date dd/mm/yyyy

***(For each organisation listed in Part A3.1 add additional certifications and signature blocks as necessary.)***

**A9.5 Certification by the Deputy or Pro-Vice-Chancellor (Research), CEO or delegate for each Collaborating Organisation**

(For each Collaborating Organisation listed in part A3.2 provide the handwritten signature of the Deputy or Pro-Vice Chancellor, CEO or delegate.)

I certify that the following contribution will be made available to the Centre if the Proposal is successful:

	Cash \$	In-kind \$	Total
Year 1			
Year 2			
Year 3			
Year 4			
Year 5	<i>Add rows as necessary</i>		
Total			

Signature of DVC/PVC(R), CEO (or delegated officer)	Name and Organisation (please print)	Date dd/mm/yyyy

***(For each Collaborating Organisation listed in Part A3.2 add additional certifications, contribution details and signature blocks as necessary.)***

## PART B—PERSONNEL

Part B must be completed for each participant listed in Part A3.1

**B1 PERSON NUMBER**

**B2 ABBREVIATED DETAILS**

GAMS ID	
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Family name		Role	
First name		Second name	
Title			
Department/school/other			
Organisation			
Email			

**B3 POSTAL ADDRESS**

Department/school/other							
Organisation							
Postal address line 1							
Postal address line 2							
Locality		State		Postcode		Country	

**B4 MEMBERSHIPS**

**B4.1 Are you a current member of the ARC or its advisory committees?**

Yes  No

**B4.2 Are any of your relatives or close social/professional associates current members of the ARC or its advisory committees?**

Yes  No

If Yes, please name the ARC member(s)

Name	Committee
<i>Add rows as necessary</i>	

**B4.3 Do you hold a current ARC Research Fellowship (eg APD, ARCIF, ARF/QEII, SRF, APF, FF)?**

If yes please specify

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**B4.4 Are you associated with a Commonwealth-funded Research Centre.**

(Commonwealth-funded Research Centres include, but are not limited to, ARC Centres of Excellence, ARC Centres, NHMRC Program grants and Commonwealth Research Centres.)

Yes  No

If yes please name the Centre

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**B5 AFFILIATIONS OF CI'S**

If you are nominated as a Chief Investigator, are you currently drawing salary from a non-higher education sector organisation that is primarily funded for research from Commonwealth or State Government sources?

Yes  No

If you answered Yes, please describe the nature of your employment, association, financial interest (including % of salary) and/or significant funding received. (Up to 250 words).

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**B6 QUALIFICATIONS**

**B6.1 PhD qualification awarded**

Discipline/Field			
Organisation			
Country			
Month and Year awarded (mm/yyyy)		(or) Date Thesis Submitted/ Proposed Submission Date	

**B6.2 Other qualifications** (including highest Qualification if not PhD)

Degree/Award	Year	Discipline/Field	Organisation and Country
<i>Insert rows as necessary</i>			

**B7 ACADEMIC, RESEARCH, PROFESSIONAL AND INDUSTRIAL EXPERIENCE**

**B7.1 Current and previous appointment(s)/position(s) - for the past 10 years**

Position held	Organisation	Department	Year appointed and Status
<i>Insert rows as necessary</i>			

**B8 CITIZENSHIP/RESIDENCY DETAILS**

Are you an Australian citizen?

Yes  No

If No, please complete the following citizenship and residency details.

<b>Country/ies of citizenship</b>	
<b>Country of residence</b>	
<b>Current Australian residency status</b>	

**B9 CURRICULUM VITAE**

Insert a curriculum vitae of **one page** for the ID and each CI and PI listed in Part A3.1, including the following details:

1. name (from A3.1)
2. a list of the ten most significant relevant publications for the last five years
3. the total number of peer-reviewed research publications over the last five years
4. any other relevant details not included elsewhere within the Application Form

## Part C—PROJECT COST

<b>Costs should be quoted exclusive of the GST.</b>
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### C1 CENTRE BUDGET -- CASH COMPONENTS

REVENUE	Year 1	Year 2	Year 3	Year 4	Year 5	Total
Requested from ARC Administering Organisation						
<b>Collab. Organisations</b>						
<i>Collab. Org. Name 1</i> (From A3.2)						
<i>Collab. Org. Name 2</i> (From A3.2)						
<i>Add rows as necessary</i>						
<b>TOTAL (a)</b>						
<b>EXPENDITURE</b>						
Personnel						
Teaching Relief						
Equipment						
Maintenance						
Travel						
Other						
<b>TOTAL (b)</b>						
<b>CASH BALANCE (a – b)</b>						

### C2 PROPOSAL BUDGET -- IN-KIND CONTRIBUTIONS

*A brief justification for the method used for valuation of in-kind contributions must be included in Section F3 Proposed Centre Budget.*

<i>Administering Organisation Name (From A3.2)</i>	Year 1	Year 2	Year 3	Year 4	Year 5	Total
Personnel						
Equipment						
Other						
<b>Total</b>						
<i>Collaborating Organisation 1. Name (From A3.2)</i>						
Personnel						
Equipment						
Other						
<b>Total</b>						
<i>Collaborating Organisation 2. Name (From A3.2)</i>						
Personnel						
Equipment						
Other						
<b>Total</b>						
<i>Repeat for each organisation as necessary</i>						

### C3 CENTRE BUDGET -- INDIRECT COSTS

The ARC wishes to obtain an understanding of the full costs of the research that is supported by its funding assistance. The indirect component of these costs will be estimated using a multiplier on the *pro rata* salaries (plus 26% on-costs) of professional personnel employed by, or formally associated with, the Centre. For the purposes of this calculation, professional staff means Chief Investigators, Partner Investigators and any other researcher employed by, or associated with, the Centre, who are at Level A or above.

Enter the multiplier that the employing institution has developed, or adopt the AVCC multipliers of 1.25 on the direct payroll costs (salary plus on-costs) of laboratory-based research and 0.92 on the direct payroll costs for non-laboratory based research if the employing Organisation does not have a multiplier. An Organisation may opt to leave this field blank, in which case the indirect costs may be reported as in-kind contributions.

Enter Organisational Indirect Cost factors.

Organisation	Indirect Cost Factor
Place Administering Organisation name in this cell	
Place Collaborating Organisation 1 name in this cell(From A3.2)	
Place Collaborating Organisation 2 name in this cell(From A3.2)	
<i>Add rows as necessary</i>	

Enter into the "Personnel" cost below the institutional component of *professional* personnel costs (see definition of professional above) from C1 (Expenditure) and from C2. Then calculate and insert the relevant Indirect Cost by multiplying the "Personnel" cost by the Indirect Cost factor for each Organisation shown above.

Administering Organisation	Year 1	Year 2	Year 3	Year 4	Year 5
Personnel component from Part C1					
Total Indirect Costs (= Personnel Component X Indirect Cost Factor)					
<b>Collaborating Organisation 1. Name</b>					
Personnel component from Part C1					
Total Indirect Costs (= Personnel Component X Indirect Cost Factor)					
<b>Collaborating Organisation 2. Name</b>					
Personnel component from Part C1					
Total Indirect Costs (= Personnel Component X Indirect Cost Factor)					
<i>Repeat for each organisation as necessary</i>					

## PART D—RESEARCH SUPPORT

### D1 RESEARCH SUPPORT OF ALL PARTICIPANTS

Provide details of any source of competitive research funding being received or requested for the years 2005, 2006, 2007, 2008, and/or 2009 for all participants listed in Section A3.1.

- The current proposal must be listed first. List other Proposals and/or project in descending date order.
- Asterisk (\*) any support related to this project.
- ARC-funded projects for which reports (including Progress and Final Reports) required in the Conditions of Grant/Funding Contract/Funding Agreement have been submitted should be indicated by a double asterisk after the Description.
- Support types (Sup type) are 'C' for current support, 'R' for requested support, 'P' for past support.
- The ARC Project ID applies only to past and current ARC grants and Proposals.
- Please tick the "Agree to Relinquish" box for any grant/project which you agree to relinquish future funding for if the proposal for the Centre of Excellence is successful (see *Call for Proposals* Section 4.6 for further details regarding non-duplication of Commonwealth funding and requirements for the relinquishment of funding). Before making this offer of relinquishment you must have obtained the written agreement of the Administering Organisation for the relevant grant/proposal, with the handwritten signature of the DVC Research (or delegate) on the agreement.

Description (Project title and investigators' Family name. Provide agency and scheme if no ARC Project ID)	(*)	Sup type (C, R or P)	ARC Project ID	2005 (\$'000)	2006 (\$'000)	2007 (\$'000)	2008 (\$'000)	2009 (\$'000)	Agree to Relinquish future funding if Proposal is successful?
<b>Centre Project ID</b> (ARC to supply)	*								
									<input type="checkbox"/>
									<input type="checkbox"/>
<b>Add rows as necessary</b>									<input type="checkbox"/>

## PART E—COLLABORATING ORGANISATION DETAILS

### E1 Collaborating Organisation Contact Details

Provide these details only for each Collaborating Organisation listed in Part A3.2.

#### E1.1 ORGANISATION DETAILS

Organisation contact

Family name				Title	
First name		Second name			
Position title					
Phone		Fax			
Email					

Organisation postal address

Organisation							
Postal address line 1							
Postal address line 2							
Locality		State		Postcode		Country	

#### E1.2 ORGANISATION DETAILS

Organisation contact

Family name				Title	
First name		Second name			
Position title					
Phone		Fax			
Email					

Organisation postal address

Organisation							
Postal address line 1							
Postal address line 2							
Locality		State		Postcode		Country	

**Add ORGANISATION DETAILS as necessary.**

## PART F—DESCRIPTION OF CENTRE OF EXCELLENCE PROGRAM OF RESEARCH

### **F1: CASE FOR THE CENTRE [14 pages maximum]**

This must include an outline of the goals of the Centre and include the method and approach to be adopted and expected results. It should address the objectives listed in Notes 1 and 2 of Appendix 2 of the *Call for Proposals*. Applicants are encouraged to write the Case for a Centre in the form of a strategic plan, including their targets for performance against each objective.

### **F2: PROPOSED CENTRE BUDGET [3 pages maximum]**

Provide a detailed budget justification of the need and costs of budget items requested from the ARC and how the proposed Centre will provide value for money. Use the same headings as in column 1 of section C1.

Provide an explanation of how non-ARC contributions will support the Proposal. Structure this section under the following headings:

- Cash Revenue

Explain the requested ARC funding and, if necessary, any conditions associated with the cash contributions from each collaborating organisation.

- Expenses against cash revenue

Explain in appropriate detail the proposed allocation of funding to Personnel, Teaching Relief, Equipment, Maintenance, Travel and Other.

- In-kind contributions

Explain the Personnel, Equipment and Other components. Personnel may include people who will be associated with the Centre, whose salary will not be paid from ARC Centre funding assistance. The track records of some or all of these people may be included in Part F5. Equipment may address reasons why purchase of equipment cannot be funded under other ARC programs. Other may include the estimated value of the contribution of unsalaried people who will be associated with the Centre (e.g. students, honorary staff).

- Indirect costs

If necessary, explain the basis for the Organisational Indirect Cost factor.

### **F3: COLLABORATING ORGANISATION COMMITMENT AND COLLABORATION [3 pages maximum]**

Detail how the Collaborating Organisations will be involved in the Centre and the extent to which the Centre is likely to lead further collaboration between the Collaborating Organisations and the Administering Organisation and develop long-term alliances.

### **F4: NATIONAL SIGNIFICANCE AND IMPORTANCE [3 pages maximum]**

Describe how the application falls within one or more of the National Research Priorities (as outlined in Appendix 1 of the *Special Research Initiatives Funding Rules for Funding commencing in 2006*). In particular, it should address the National Research Priority: Safeguarding Australia.

Describe how the research is significant and how it addresses an important problem.

Describe the expected outcomes and likely impact of the proposed research.

## **F5: PERSONNEL [Page limits apply]**

For each CI (Including the Interim Director) and PI listed in Part A3.1, include a description of their expertise and proposed roles and contributions to the Centre. There is a page limit of up to **2 pages** per person.

For other persons involved in the Proposal, who are not the Interim Director, CIs or PIs listed at Part A3.1, the following may be provided, (up to **2 pages** per person, including all the information listed below:

- a. Track Records to illustrate the scope and level of commitment to the Centre. Track records are assessed relative to opportunity. The track record should commence with the following information, in order
  - i. Name (Last name, First name, Title);
  - ii. Qualifications (Degree and year of award for each award);
  - iii. Current appointment (Organisation & year of appointment; Level and year of appointment to level);
  - iv. Relevant employment history; and
  - v. Publication list if relevant (up to 6 most significant from past 5 years; up to 4 additional career-best; the number of books, peer-reviewed research publications, and un-reviewed research publications over the past 5 years).
  
- b. A brief description of their expertise and proposed roles and contributions to the Centre.