

AUSTRALIAN RESEARCH COUNCIL

SRI

SPECIAL RESEARCH INITIATIVES

SPECIAL RESEARCH INITIATIVE IN STEM CELL SCIENCE

APPLICATION FORM FOR FUNDING COMMENCING IN 2011

Proposals must comply with the requirements of the *Special Research Initiatives Funding Rules for funding commencing in 2011*, and any content, font and page limit requirements specified in this form and the Instructions to Applicants for *Special Research Initiative in Stem Cell Science* document and the *Special Research Initiatives Call for Proposals* document.

PROJECT ID: [SR11nnnn] (ARC use only)

Total number of sheets contained in this Proposal	
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[PRIVACY NOTICE] Information on this form and its attachments is collected in order to make recommendations to the Minister on the allocation of financial assistance under the *Australian Research Council Act 2001* and for post award reporting. The information collected may be passed to third parties for assessment purposes. It may also be passed to the National Health and Medical Research Council, the Department of Foreign Affairs and Trade, the Department of Innovation, Industry, Science and Research, the Department of Environment, Water, Heritage and the Arts, the Department of Education, Employment and Workplace Relations, the Department of Agriculture, Fisheries and Forestry, the Department of Health and Ageing, and the Department of Veterans' Affairs for the purpose of checking eligibility. In other instances, information contained in this Proposal can be disclosed without your consent where authorised or required by law.

PART A—ADMINISTRATIVE SUMMARY

A1 ORGANISATION TO ADMINISTER FUNDING

See Appendix 1 of the *Special Research Initiatives (SRI) Funding Rules for funding commencing in 2011* for list of Eligible Organisations.

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A2 PROPOSAL TITLE

Insert a short descriptive title of no more than 20 words. Avoid the use of acronyms, quotation marks and uppercase characters. The ARC will determine the final title of the Proposal on the advice of the Administering Organisation.

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A3 PARTICIPANT SUMMARY

List the participants who will be involved in the Initiative and their current organisations. Enter details of the Program Leader at Person number 1. Note that on applications with more than one participant, the Program Leader must be from the Administering Organisation and will be the ARC's reference person for the application. CI = Chief Investigators, PI = Partner Investigators.

Person number	Family name	Title	Initials	Organisation	Role CI/PI
1					
2					
3					
4					
5					

(Add rows as required up to a maximum of 30 participants)

A4 ORGANISATIONS SUMMARY

List all the Organisations which will be involved in the Initiative, including the Administering Organisation (Admin Org), Collaborating Organisations (Collab Org) and Partner Organisations (Partner Org).

Organisation Number	Organisation Name	Organisation Type
1		
2		
3		
4		
5		

(Add rows as required up to a maximum of 30 organisations)

A5 SUMMARY OF PROPOSAL

In no more than 100 words of plain language, please summarise aims, significance and expected outcomes of the Initiative. Do not use highly specialised or technical terms. Avoid the use of quotation marks and acronyms, and do not use all upper-case characters in the text.

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A6 SUMMARY OF NATIONAL/COMMUNITY BENEFIT (for public release)

In no more than approx 100 words of plain language, please summarise the national/community benefits that are expected to arise from the Initiative. This should be suitable for media or other publicity material.

A7 REQUESTED ARC SUPPORT

Years for which support is being sought.

Year 1 Year 2 Year 3 Year 4 Year 5 Year 6 Year 7

A8 ADDITIONAL DETAILS

Have you submitted, or do you intend to submit, a similar Proposal to any other agency?

Yes No

If Yes, please provide details:

A9 CERTIFICATION

The Administering Organisation must obtain the written agreement of all parties necessary to allow the proposed research to proceed. The ARC reserves the right to seek evidence of this agreement from the Administering Organisation.

Certification by the Deputy/Pro Vice-Chancellor (Research) or their delegate or equivalent in the Administering Organisation

I certify that—

- To the best of my knowledge, all of the information provided in this Proposal is true and complete and I understand that it is an offence under the *Criminal Code Act 1995* to provide false or misleading information.
- This Proposal does not have a significant focus on Medical and Dental Research as defined in the *Special Research Initiatives Funding Rules for funding commencing in 2011* and on the ARC website.
- I consent, on behalf of all parties, to the ARC dealing with the Proposal as specified in Clause 14.4.2 of the *Special Research Initiatives Funding Rules for funding commencing in 2011*.
- I consent, on behalf of all the parties, to this Proposal being referred to third parties, who will remain anonymous, for assessment purposes.
- To the best of my knowledge, the Privacy Notice appearing at the top of this application form has been drawn to the attention of all the participant(s) whose personal details have been provided at Part F.
- I have notified the ARC of any conflicts of interest relating to parties involved in or associated with this Proposal and agree to notify the ARC of any such conflicts that may arise after the submission of this Proposal.
- This organisation will contribute the resources specified in this Proposal if the Proposal is successful.
- I agree, and have obtained the agreement as required by the *Special Research Initiatives Funding Rules for funding commencing in 2011* of the Partner and Collaborating Organisations to contribute the resources and facilities outlined in this Proposal.
- I agree, and have obtained the agreement of the Collaborating and Partner Organisations, to provide basic facilities and other appropriate facilities and accommodation for the Proposal.
- I certify that all Chief Investigators meet the eligibility criteria for the role as defined in the *Special Research Initiatives Funding Rules for funding commencing in 2011*.
- The amount of time that the investigator(s) will be devoting to the project is appropriate given existing workloads.
- This Proposal does not duplicate Commonwealth-funded research including that in a Commonwealth-funded Research Centre
- For all participants who will be associated with a Commonwealth-funded Centre, the Director has approved the Proposal and confirms that it does not duplicate Commonwealth funding.
- If the participants listed on this Proposal in Part A3 currently hold Commonwealth-funded project(s) which overlap with the research of this Proposal, they agreed to relinquish the project funding or they have clearly outlined the research overlap and are not seeking to use funds from this current Proposal for this overlapping research.
- I will notify the ARC if there are changes to any named Participant after the submission of this Proposal.
- All funds for this project will only be spent for the purpose for which they are provided.
- The project will not be permitted to proceed until appropriate ethical clearance(s) has/have been obtained.
- I understand and agree that all statutory requirements must be met before the proposed research can commence.
- I have read, understood and complied with the ARC *Special Research Initiatives Funding Rules for funding commencing in 2011*, and if the Proposal is successful I agree to abide by the terms and conditions of the *Special Research Initiatives Funding Agreement for funding commencing in 2011*.

Signed:

Name/Position:

Date:

PART B—CLASSIFICATION AND OTHER STATISTICAL INFORMATION

B1 NATIONAL RESEARCH PRIORITIES

If applicable, indicate whether this Proposal falls within one or more of the four designated National Research Priorities. Applicants may choose more than one goal within the chosen National Research Priority area. These are available on the ARC website www.arc.gov.au/pdf/nrps_and_goals.pdf

	National Research Priority Area	National Research Priority Goal
1		
2		
3		
4		

B2 FIELD OF RESEARCH (FOR)

Select up to four Field of Research (FOR) six digit classification codes and indicate the percentage of their relevance to the proposed Initiative. Note that the FOR codes will be considered when selecting assessors to read this Proposal. See www.arc.gov.au/applicants/codes.htm for details on FOR codes.

	Field of Research (FOR)	Field of Research (FOR) percent
1		
2		
3		
4		

B3 SOCIO-ECONOMIC OBJECTIVE (SEO)

Select up to three Socio-Economic Objective (SEO) six digit classification codes and indicate the percentage of their relevance to the proposed Initiative. See www.arc.gov.au/applicants/codes.htm for details on SEO codes.

	Socio-Economic Objective (SEO)	Socio-Economic Objective (SEO)percent
1		
2		
3		

B4 KEYWORDS

Enter up to six keywords to describe the research of the proposed Initiative. The keywords should be of the kind normally required for submitting an article to a major refereed journal.

	Keywords
1	
2	
3	
4	
5	
6	

B5 INTERNATIONAL COLLABORATION

If the proposed research involves international collaboration, please specify country/ies involved.

	International Collaboration Country Name
1	
2	

Add additional rows as required.

B6 RESEARCH STUDENTS

The ARC is interested in reporting the number of Research Students that may be financially supported if this proposal is funded. Please list the number of Research Students by category below. Australian and Overseas refers to the location of relevant Research Students; i.e. students would be classified as overseas if they were based overseas and were coming into Australia for this Initiative or are based overseas for the Initiative.

	Australian	Overseas
PhD		
Masters		
Honours		

PART C—DESCRIPTION OF SPECIAL RESEARCH INITIATIVE PROGRAM OF RESEARCH

The description of the program of research to be undertaken should address and incorporate both the specific objectives listed in Column 4 of the Appendix 2 *Special Research Initiatives (SRI) Funding Rules for funding commencing in 2011* and the Selection Criteria listed in Section 1.3 of the *Call for Proposals for the Special Research Initiative in Stem Cell Research*.

C1 CASE FOR THE PROPOSAL [Limit of 10 A4 pages]

Provide details on the research program, including goals and objectives of the Proposal and include the method and approach to be adopted and expected results. It should address the objectives listed in Column 4 of the Appendix 2 of the SRI Funding Rules. Applicants are encouraged to write the Case for the Proposal in the form of a strategic plan, including their targets for performance against each objective.

C2 ORGANISATION COMMITMENT AND COLLABORATION [Limit of 3 A4 pages]

Detail how the Collaborating and Partner Organisations will be involved in the Proposal and the extent to which the Proposal is likely to lead to further collaboration between the Collaborating and Partner Organisations and the Administering Organisation and develop long-term alliances.

C3 NATIONAL SIGNIFICANCE AND IMPORTANCE [Limit of 3 A4 pages]

Describe how the application falls within one or more of the National Research Priorities (as outlined on the ARC website: www.arc.gov.au/pdf/nrps_and_goals.pdf)

Describe how the research is significant and how it addresses an important problem. Describe the expected outcomes and likely impact of the proposed research.

C4 COMMUNICATION OF RESULTS [Limit of 2 A4 pages]

Outline plans for communicating the research results of the Proposal to other researchers and the broader community.

C5 PERSONNEL [Limit of ½ page per person]

For each CI (including the Program Leader) and PI listed at Part A3 as well as each researcher associated with the Proposal, include a description of their expertise and proposed roles and contributions to the Initiative. There is a page limit of up to **1/2 page** per person.

C6 REFERENCES [Limit of 1 page]

Include a list of all references within the page limit. This list only may be in 10 point font.

PART E—BUDGET JUSTIFICATION

E1 JUSTIFICATION OF FUNDING REQUESTED FROM THE ARC [Limit of 3 A4 pages]

Fully justify in terms of need and cost each expenditure item which will be funded through the ARC request (use the same headings as in the Expenditure table at Part D1).

E2 DESCRIPTION OF FUNDING FROM NON-ARC SOURCES [Limit of 3 A4 pages]

Provide an explanation of how non-ARC contributions (cash and in-kind) will support the proposed Initiative (use the same headings as in the Expenditure table at Part D1).

PART F—PERSONNEL

Part F must be completed for each Participant listed in Part A3 in the same order in which they appear in that Part.

F1 PERSON NUMBER

F2 RMS USER ID

F3 CURRENT DETAILS

Family name				Role	
First name		Second name			
Title					
Department/school/other					
Organisation					
Email		Phone			

F4 POSTAL ADDRESS

Department/school/other	
Organisation	
Postal address line 1	
Postal address line 2	
Locality	
State	
Postcode	
Country	

F5 ARC MEMBERSHIPS/ASSOCIATIONS

Are you a current member of the ARC or its selection or other advisory committees? (This relates only to College of Experts members or Selection Advisory Committee members for National Competitive Grants Program funding schemes.)

Yes No

Are any of your relatives or close social/professional associates current members of the ARC or its selection or other advisory committees? (This relates only to College of Experts members or Selection Advisory Committee members for National Competitive Grants Program funding schemes.)

Yes No

If Yes, please name the ARC member(s)

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F6 RESEARCH CENTRE ASSOCIATION

Will you be associated with a Commonwealth-funded Research Centre as at 1 July 2011?

Yes No

If Yes, please provide the full name of the Centre(s), the Start Date that funding commenced, or will commence for the Centre, the Cessation Date when Commonwealth funding ceases for the Centre, and the Percentage of your time spent at the Centre (to the nearest whole percent). Percentage of time should be reported as a percentage of Full-Time Equivalent (FTE):

Full Legal Name of Centre	Start Date [mm/yyyy]	Cessation Date [mm/yyyy]	Percentage of time [no decimals]

F7 ARC FELLOWSHIPS

Please indicate if you have received any of the following Fellowships from the ARC: APD, APDI, APDC, ARF, QEII, APF, FF, RC-ATSI, IRF, SRF, FL, FT, SF or ARCIF (additional rows may be required).

Fellowship type	Funding commencement year	Finish year

F8 AFFILIATIONS

If you are nominated as a Chief Investigator, will you be employed more than 50% of your time in 2011 at an organisation that is outside the higher education sector and that engages in research which is funded predominantly from State/Territory or Commonwealth Government sources?

Yes No

If Yes, in no more than 100 words of plain language, please describe the nature of your employment, association, and/or financial interest (including specifying the % proportion of time employed).

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F9 QUALIFICATIONS

Please list all your qualifications beginning with the most recently awarded. If you hold a PhD or expect to be awarded a PhD qualification in the near future, please enter the date your PhD has been awarded or the date your thesis will be submitted, respectively.

Date of PhD Award	
Date of PhD Submission	

Degree/Award	Year	Discipline/Field	Organisation and Country

F10 ACADEMIC, RESEARCH, PROFESSIONAL AND INDUSTRIAL EXPERIENCE

Current and previous appointment(s)/position(s) – during the past ten years

Position held	Organisation	Department	Year appointed and Status

Add additional rows as required.

For 'Status' – enter one of the following: 'Postgraduate', 'Continuing', 'Fixed-term', 'Currently not employed' or 'Other' where 'Continuing' means an ongoing tenured position and 'Fixed-term' means a non-ongoing position (a job held for a defined period. e.g. a three year contract)

F11 ORGANISATIONAL AFFILIATIONS FOR ELIGIBILITY PURPOSES FOR THIS PROPOSAL

Name of the organisation you will be associated with for the purposes of satisfying the eligibility requirements for your nominated role in undertaking the proposed research (i.e. for a CI this will usually be the Eligible Organisation at which they will be employed or hold an adjunct appointment as at 1 July 2011 and beyond; and for PIs it will generally be their main employer as at 1 July 2011).

Role	Organisation	Type of Affiliation

F12 TIME COMMITMENT

What will be your time commitment (%FTE) with the Initiative?	
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F13 RESEARCH OPPORTUNITY AND PERFORMANCE EVIDENCE (ROPE)

Using the headings below, on separate sheets, describe your contributions to research and research training.

Please keep all of Part F13 for each participant grouped together.

F13.1 Details on your career and opportunities for research over the last five years, incorporating evidence of collaboration, mentoring and leadership. [Limit of 1 A4 page]

Provide and explain:

- i. The number of years it has been since you graduated with your highest educational qualification;
- ii. The research opportunities that you have had in the context of your employment situation (e.g. early career researcher), the research component of your employment conditions, and any unemployment or part-time employment you may have had;
- iii. Whether you are a research-only, teaching and research, teaching-only, teaching and administration, research and administration, or administration-only academic, giving any additional information (e.g. part-time status) needed to understand your situation. Give an indication of what percentage of time you have spent over the last five years in those roles;
- iv. Any career interruptions you have had for childbirth, carer's responsibility, misadventure, or debilitating illness;
- v. The research mentoring and research facilities available to you; and
- vi. Any other aspects of your career or opportunities for research that are relevant to assessment and that have not been detailed elsewhere in this Proposal (e.g. any circumstances that may have slowed down your research and publications) or affected the time you have had to conduct and publish from research.

F13.2 Recent significant publications (2005 onwards). [Please see Instructions to Applicants]

- Ensure that this listing is limited to the last five years, i.e. 2005 onwards.
- List publications under the following headings and in this order:
 - i. Books
 - ii. Book chapters
 - iii. Refereed journal articles
 - iv. Refereed conference papers only when the paper was published in full in the proceedings
 - v. Other
- You must number your publications continuously
- Asterisk all publications relevant to this Proposal.
- Please indicate publications produced from ARC grants by listing the grant identification number for the project.

F13.3 Ten career-best publications. [Limit of 2 A4 pages]

- Include best publications, regardless of the date of publication.
- Do not list more than ten publications
- If any of these publications are a result of ARC funding then they must be acknowledged as described in Part F13.2.
- Include a statement of a maximum of 30 words explaining and justifying the impact or significance of each publication.
- Asterisk all publications relevant to this Proposal.

F13.4 Further evidence in relation to research impact and contributions to the field over the last ten years. [Limit of one A4 page]

In this section provide information on the following:

- i. Research outputs other than publications. Other research outputs might include consultancies, patents and policy advice, competitive grants and other research support, major exhibitions, compositions or performances, other professional activities, or other outputs; and
- ii. Evidence for the quality of all your research outputs including those in Parts F13.2 and F13.3. Assess the impact of your research for all of your outputs relative to opportunity and in the context of discipline expectations. Include a range of research evaluations (e.g. citations, evaluation of the publication, the journal, the book publishing house, the conference etc; other measures of impact; honours and awards/prizes; other esteem measures; and any other evaluations of your outputs).

F14 CITIZENSHIP/RESIDENCY DETAILS

Australian citizen? Yes <input type="checkbox"/> No <input type="checkbox"/>	
Country/ies of citizenship	
Country of residence	
Current Australian residency status	

F15 RESEARCHER PARTICIPATION AGREEMENT

I have read and understand the *ARC Special Research Initiatives Funding Rules for funding commencing in 2011*, and as required under the Funding Rules, agree to my time commitment and role as outlined in this Proposal.

Yes

No

Part H—POTENTIAL OVERLAP OF COMMONWEALTH FUNDING

H1 RESEARCHER STATEMENT

For each researcher on the Proposal, please attach a statement detailing the potential overlap of **any** current Commonwealth grant(s) held with the proposed research program.

This statement must identify if the grant is to be relinquished. If the current grant is not going to be relinquished, the statement must explain how the currently held project complements but does not duplicate the proposed Initiative. Each statement must be no more than half an A4 page.

Project ID	<input type="text"/>	First named Investigator	<input type="text"/>
Scheme	<input type="text"/>	Agree to Relinquish	<input type="text"/>

Statement:

Project ID	<input type="text"/>	First named Investigator	<input type="text"/>
Scheme	<input type="text"/>	Agree to Relinquish	<input type="text"/>

Statement:

Project ID	<input type="text"/>	First named Investigator	<input type="text"/>
Scheme	<input type="text"/>	Agree to Relinquish	<input type="text"/>

Statement:

Project ID	<input type="text"/>	First named Investigator	<input type="text"/>
Scheme	<input type="text"/>	Agree to Relinquish	<input type="text"/>

Statement:

Add additional tables as required.

PART I –COLLABORATING ORGANISATIONS DETAILS

Please complete a Part I for each Collaborating Organisation listed in Part A4 (Organisation summary).

I1. COLLABORATING ORGANISATION CONTACT DETAILS

Please provide the Collaborating Organisation’s contact details below.

Family name				Title			
First name			Second name				
Position Title							
Phone			Fax				
Email							
Organisation							
Postal address line 1							
Postal address line 2							
Locality		State		Postcode		Country	

I2 LETTERS OF SUPPORT FOR COLLABORATING ORGANISATIONS

Each Collaborating Organisation’s letter of support has a page limit of three A4 pages. Please refer to the Instructions to Applicants for Collaborating Organisation letters of support requirements.

PART J—PARTNER ORGANISATION DETAILS

J1 PARTNER ORGANISATION CONTACT DETAILS

Please fill out the requested details only for those organisations listed in Part A4 that are not Eligible Organisations - i.e. those not listed at Appendix 1 of the SRI Funding Rules. Please duplicate the tables below for each relevant organisation.

Organisation contact

Family name				Title	
First name			Second name		
Position Title					
Phone			Fax		
Email					

Organisation postal address

Organisation							
Postal address line 1							
Postal address line 2							
Locality		State		Postcode		Country	

J2 PARTNER ORGANISATION - OTHER DETAILS

Australian Business Number (ABN)

Web page address

Australian and New Zealand Standard Industrial Classification (ANZSIC)

Organisation type

J3 LETTERS OF SUPPORT FROM PARTNER ORGANISATIONS

Each Partner Organisation's letter of support has a page limit of three A4 pages. Please refer to the Instructions to Applicants for Partner Organisation letters of support requirements.

Check list

These items are provided to help you ensure your Proposal is complete and free of errors. It is provided as a guide only.

- Does the Proposal meet format and submission requirements set out in the *SRI Funding Rules for funding commencing in 2011* and Instructions to Applicants (e.g. satisfy page limits, include relevant attachments in the hard copy version, meet the font size and pagination requirements and do all copies of the Proposal match)?
- Is the application signed by the appropriate person at Part A9 of the Application Form?
- Do the personnel meet the requirements for the role for which they are nominated?
- Have relevant participants declared their association with Commonwealth-funded Research Centres?
- Have you obtained the requisite certifications as specified in the *SRI Funding Rules for funding commencing in 2011*?
- Have all participants listed the research support they have received as required by Part G?
- Have you checked to see there are no items in the budget which are prohibited items (e.g. funding is not provided for CI/PI salaries and other items), as specified in the *SRI Funding Rules for funding commencing in 2011*?
- Have you included all the requisite letters of support from Collaborating and Partner Organisations?
- Have you obtained the agreement and relevant certifications/ signatures of all parties necessary to allow the proposed research to proceed? A pro forma certification form is available for this purpose on the ARC website (http://www.arc.gov.au/ncgp/sri/stem_cell.htm) – this form is not submitted with the Proposal. This form is to be retained by the Administering Organisation and must be made available to the ARC upon request. See Section 10.2 of the *SRI Funding Rules for funding commencing in 2011* for more information on certification.
- An electronic copy, in PDF format, of the application form, including any additional pages inserted should be emailed to sri@arc.gov.au.