



Publication of EI submission information

Objective

The ARC will release information submitted by universities for EI 2018 following the completion of the EI 2018 assessments. The ARC is releasing the information as part of its commitment to having an EI process that is transparent and accountable, and to better align with the *Australian Government Public Data Policy*.

Background

The Unit of Assessment (UoA) for EI is the 2-digit Field of Research (FoR—as defined by the Australian and New Zealand Standard Research Classification) at the submitting university.

Universities may make one engagement submission and one impact submission per UoA.

The engagement submission for each UoA includes an engagement narrative, a suite of quantitative engagement indicators and an engagement indicator explanatory statement.

The impact submission for each UoA constitutes a single impact study detailing the university's approach to impact and an impact example. Universities also have the option to submit one interdisciplinary impact study and one Aboriginal and Torres Strait Islander impact study. In certain circumstances, where a university meets the low volume threshold, a university may make a request not to be assessed for impact (see appendix H of the *EI 2018 Submission Guidelines*).

Publication of submissions

The ARC will release the performance ratings for EI assessments and EI submission information separately.

Performance ratings will be released as part of an EI 2018 National Report.

EI submission information will be published on the ARC website after the release of the EI 2018 National Report. Specifically, the ARC will publish:

- all information submitted as part of an impact study (see appendix G of the *EI 2018 Submission Guidelines*) and
- all information submitted as part of a request not to be assessed for impact (see appendix H of the *EI 2018 Submission Guidelines*)

The ARC will not publish information submitted as part of an engagement submission for EI 2018 in a manner that specifically identifies individual universities. However, it may, at any

time, publish information from engagement submissions in an aggregated or de-identified form.

Commercially or culturally sensitive material in impact studies

In exceptional circumstances, the ARC will not publish parts of an impact study that detail commercially or culturally sensitive information.

Any such commercially or culturally sensitive information must be clearly identified by the submitting university as part of their relevant submission by using the sensitivities flag and sensitivities description in the impact study. The sensitivities description must also clearly show what parts of the impact study are not suitable for publication and what parts are suitable for publication.

At a *minimum* the ARC expects that impact studies containing commercially or culturally sensitive information be written in a way that allows for the publication of:

- the title of the impact study
- the UoA
- any additional FoR codes assigned to the impact study
- any Socio-Economic Objective codes assigned to the impact study
- any Australian and New Zealand Standard Industrial Classification codes assigned to the impact study
- any keywords listed in the impact study
- the sensitivity type (commercial or cultural)
- any Science and Research Priorities assigned to the impact study
- any beneficiaries listed
- the 'summary of the impact' submitted as part of the impact study and
- the 'summary of the approaches to impact' submitted as part of the impact study.

Any impact study containing commercially or culturally sensitive information that does not allow for *any or all* of this above information to be published must be accompanied by a letter from the university's Deputy Vice Chancellor—Research (or equivalent). The letter must outline why the impact study was not written in a manner permitting the publication of the information and what specific harm will be caused by its publication. The letter must be received by the ARC no later than 18 July 2018.