



Australian Government

Australian Research Council

Variations of ARC Agreement:
Research Office instructions for completing and submitting a
Variation and/or POACR

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ARC Post Award

The Australian Research Council (ARC) Post Award team provides assistance, support and advice for ARC funded projects. For questions relating to funded projects, contact Post Award through the details provided below. Please provide the project ID and/or scheme year so that the team can provide specific advice.

All queries must come through the University Research Office (RO), as the agreement is between the ARC and the Administering Organisation and advice to the Chief Investigator (CI) needs to be provided in the context of the Administering Organisation's own policy and procedures. If you are unable to answer a CI's question, please reach out to the ARC Post Award team.

Area	Contact details	
ARC Post Award	Email	ARC-PostAward@arc.gov.au
	Phone	(02) 6287 6600
ARC RMS Support	Email	ARC-systems@arc.gov.au
	Phone	(02) 6287 6789

Submission of Variations: Information to note

As a requirement of the ARC Grant Agreement, the Administering Organisation must ensure that a variation is submitted for certain changes to ARC Projects. Variations are submitted to the ARC via the Research Management System (RMS), by the Administering Organisation. For more information please refer to the relevant ARC Grant Guidelines and ARC Grant Agreement for the year in which the grant was funded.

A list of the documentation/agreement required for variations can be found in [Appendix A](#). The ARC may request additional documentation if needed.

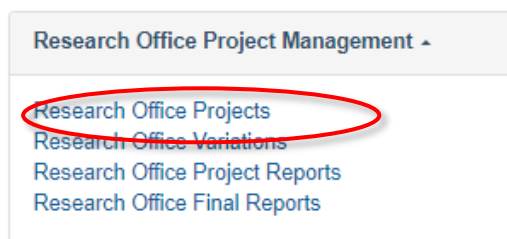
For a variation to be assessed, the following conditions must be met:

1. The variation must be submitted by the Administering Organisation via RMS.
2. The Administering Organisation must retain approvals and evidence that all relevant parties have been notified and confirm the changes.
3. Agreement from Participating Organisations must be from an authorised delegate.
4. A Partner or Other Organisation agreement may be submitted by the Partner Investigator (PI) on behalf of their organisation, provided the PI has the appropriate internal delegation for that Organisation. It is up to the Administering Organisation to ensure the PI holds this authority.
5. Host Organisation agreement may be submitted by the principle contact on behalf of their organisation, provided they have the appropriate internal delegation.

Navigating to the Project Details page

Information related to a project is accessible in RMS through its Project Details page. Financial information, variations, end of year reports and special conditions of funding can be found on this page.

- a) Login to [RMS](#). The home page is called the Action Centre.
- b) In the Action Centre, under the heading 'Research Office Project Management', click on 'Research Office Projects'.



- c) Search for the relevant Project by filtering on the Scheme, Scheme Round or Status drop down lists. Alternatively, use the Search bar to look up the Project ID, Project Title or First Investigator (ensuring there are no spaces before or after number).

[Draft Applications](#)
[Request not to Assess](#)
[Applications](#)
[Rejoinders](#)
[Projects](#)
[Variations](#)
[Monitoring Reports](#)
[Final Reports](#)

Scheme -- Select --
Scheme Round -- Select --
Search
Status All Projects

- d) Once you have found the relevant project, click on the '**Details**' button to open the Project

Research Office Projects				
Showing 1 of 1 projects.				
Project	Chief Investigator	Title	Status	
Project ID	CI	Project Title	Funded	Details

file.

- e) This will take you to the Project Details page as seen below.

Research Office instructions for completing and submitting a Variation and/or POACR

Action Centre / Project ID (Funded)

Pre Award Details

Project Details

Financials

Variations

Reports

Comments

History

ID

Project ID

Status

Funded

Title

Project Title

Summary

Project Summary

See More

Start Date

01/01/2021

End Date

31/12/2023

Project Initialisation Date

06/01/2020

Final Report State

Draft

Edit

Final Report Due Date

31/12/2024

Approved Grant

Current Grant

Year	Amount	Year	Amount
2020	\$150,000.00	2020	\$156,000.00
2021	\$165,000.00	2021	\$174,900.00
2022	\$170,000.00	2022	\$181,900.00

Investigators

#	Name	Role	Affiliated Organisation	State
1	CI	Chief Investigator	Admin Organisation	Active
2	PI	Partner Investigator	Partner Organisation	Active

Organisations

Name	Role	State
Administering Organisation	Administering Organisation	Active
Partner Organisation	Other Organisation	Active

Organisation Contributions

Organisation	2020		2021		2022	
	Cash	In Kind	Cash	In Kind	Cash	In Kind
Admin Organisation	\$0.00	\$60,000.00	\$0.00	\$60,000.00	\$0.00	\$80,000.00

Partner Organisation Contributions Total

Description	Project Amount	% Of Total Allocation
Cash	\$0.00	0%
InKind	\$0.00	0%
Total	\$0.00	0%

How to Start a variation in RMS

This section provides general instructions for submitting a variation to a project in RMS.

Please remember to **save regularly** as you complete the form, by using the 'Save' button located at the bottom left of the page.

For guidance on specific variation types please see "[Variation Request Types](#)" below.

Draft Applications Request not to Assess Applications Rejoinders Projects Variations Monitoring Reports Final Reports

Scheme Scheme Round Search Status

Scheme Scheme Round Project Code All Projects

Showing 20 of 41 projects.

Project	Chief Investigator	Title	Status
Project Code	CI	Project Title	Funded

Details

- f) Once in the Project details, select the 'Variations' tab and click on the 'Create Variation' button.

Pre Award Details Project Details Financials Variations Reports Comments History

Create Variation

Tip: Once created, each variation is assigned an individual seven-digit code, which can be viewed under the 'Code' column.

Add a variation request line

A single variation may need more than one variation type. For example, if a CI is moving to another University which is not already listed on the project, the variation will require a variation type - Person- Add/Update and variation type - Organisation- Add/ Update.

Following these steps to add each line of the variation:

- a) Select the appropriate variation type from the 'Add Project Variation' drop-down menu, as shown below.

Allowance	Project
Maternity/Parental Leave	Asset Relocation
Budget	Defer Commencement
Change Notification	End Date
EOYR	Full Relinquishment V2
Expenditure Correction	LIEF Register
Organisation	Partial Relinquishment
Add or Update	Salary Conversion
Withdraw	Salary Relinquishment
Person	Scope
Add/Update	Summary
Additional Appointment Notification	Suspension
Defer Commencement	Transfer
Suspension	
Withdraw V2	

- b) Fill in all mandatory fields, as required (referring to the specific [variation request types](#) instructions later in this document).
- c) Click 'Add'.
- d) Repeat steps a) through c) to add additional lines for each variation line, saving as you go.
- e) Complete justification field outlining “WHY” the changes are being requested (up to 500 words).

Justification

Justification text is required

- f) The justification field is mandatory and should be completed with all variations. The information provided here should be clear, concise, and include:
 - What the requested changes/actions are.
 - The personnel/organisations involved.
 - “WHY” the changes/actions are needed.

Justification should address the impact on the project and how these are being managed to meet project objectives.

Tip: This is a text box only and will not accommodate attachments, graphics or hyperlinks. If the request includes confidential information, it can be excluded from this field and should only be included within the supporting documents, if appropriate.

g) Add any required attachment:

File Attachment

No file chosen

Select the 'Choose File' button and select the document from your computer, then click on the upload button to attach the relevant supporting documentation. Please refer to [Appendix A](#) to see which variations require attachments.

Tip: Multiple files can be attached by saving them to a 'zipped' file. This zipped file can then be attached to the Variation or by scanning them as one document before attaching.

h) Once completed click 'Save' and then, 'Submit to ARC' at the bottom of the page. You cannot make changes once you have submitted to the ARC, however the ARC can return the variation to you if requested.

Tip: If you need to make changes before submitting the variation (remove or reorder etc), see following instructions before hitting Submit.

Remove a variation request line

a) Click the 'Remove' button, located on the right side of the relevant line to remove a request line from the variation.

Project Variations

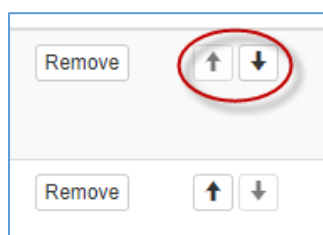
Project Variation	Original	New	
Organisation - Withdraw	Eligible Organisation Status: Active	Eligible Organisation Status: Withdrawn Effective: Effective Date	<input type="button" value="Edit"/> <input type="button" value="Remove"/> <input type="button" value="Up"/> <input type="button" value="Down"/>

- b) A confirmation box will appear asking you whether you are sure you wish to remove this project variation. Click 'OK'.
- c) Repeat as necessary for any lines to be removed.
- d) Once completed click 'Save' and then 'Submit to ARC' at the bottom of the page.

Re-order multiple request lines

a) Within the variation request, select the request line to be moved and click the up or down arrows, located on the right side of the relevant line. This will allow you to re-order the requested lines, which may be important for some variation types.

For example, when submitting a Project – Transfer variation, you must put the Transfer line first. Or with a Maternity/ Parental Leave variation, you must put the Maternity/ Parental Leave line first, then any period of Suspension, then an End Date Change.

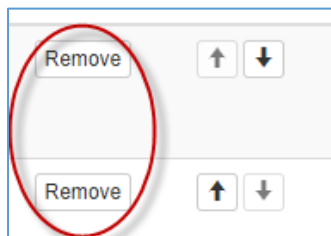


- b) Repeat as necessary for any lines to be moved.
- c) Once completed, hit 'Save' and then 'Submit to ARC'.

Deleting a variation request

Variations may be deleted following the instructions below.

- Open the variation that you want to delete.
- Select the 'Remove' button located within each request line (the justification does not need to be deleted).



- Once each line is removed, click 'Save' then 'Close' the variation. This will return you to the variation tab within the Project file.
- Once in the variation tab of the Project file, click the 'Delete' button.

Variation Code	Submitted to Research Office	20/03/2021 04:23 PM	<div> Edit Delete </div>
----------------	------------------------------	---------------------	--------------------------

- A warning will then pop up, confirming that you are happy to delete the variation by selecting 'Yes'.

IMPORTANT: Once you have selected 'Yes' – this cannot be undone.

Feedback Comments and Special Conditions

If there is an issue with the variation, ARC will either decline the variation or return it to the Research Office. The ARC will include a feedback comment explaining why the variation was returned and/or declined. If the Administering Organisation proceeds with the variation request, the comment must be actioned and/or responded to when resubmitted to the ARC.

Conditional Approval	
No	
Feedback Comments	
Comment	Last Updated
Feedback comment	31/08/2016 08:46 AM

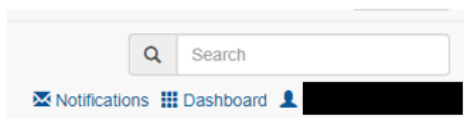
Feedback may also be included in feedback comments to indicate any special conditions the ARC may have placed on the approval. Special conditions are indicated when the 'Conditional' column is populated with 'Yes'.

Variation Code	Completed	23/11/2022 09:45 AM	06/02/2023 04:24 PM	Project Code	Yes	View
Project Variations	Project - Suspension	Approve				
	Project - Suspension	Approve				
	Project - End Date	Approve				

Finding a variation request

There are several ways to find a variation in RMS.

- 1) Use the global search box at the top right of your screen to search by the Project ID. The search results will display relevant items to that project including Variations, Applications, Final Reports, and End of Year Reports (EOYR). Scroll down to Variations to locate the variation you need.



- 2) Use the search box to search by the individual variation 'Code'.

Completed variations, may be viewed by clicking on the 'View' button.

Code	Type	Status	Created	Completed	Project Code	Conditional	
Variation Code		Completed	23/11/2022 09:45 AM	06/02/2023 04:24 PM	Project Code	No	<button>View</button>
Project Variations	Project - Suspension	Approve					
	Project - Suspension	Approve					
	Project - End Date	Approve					

Draft Variations can be edited by clicking on the 'Edit' button, as shown below.

Code	Type	Status	Created	Completed	Project Code	Conditional	
Variation Code		Submitted to Research Office (Valid)	18/04/2022 11:20 AM		Project Code		<div> <div>Edit</div> <div>Delete</div> <div>Submit to ARC</div> </div>
Project Variations	Person - Withdraw	Pending					

Variation Request Types

Allowance Variations

Allowance – Maternity/Parental leave

For Allowance – Maternity/Parental leave variation to be used there must be a provision for maternity/parental leave in the relevant ARC Grant Agreement. If there is no provision in the ARC Agreement, the participant will not be eligible for paid leave from the ARC.

The leave allowance rate is calculated based the funding year the leave commences and the salary funding provided in that funding year. The budgeted funding year the leave falls into is estimated using the reported FTE and approved suspensions for that project.

For example: a DECRA with allowance provisions is also entitled to four weeks leave under the provisions of their university employment, a variation may be submitted.

If the DECRA has worked three years at 0.5 FTE, they may claim four weeks of allowance calculated from Year 2 of funding as they will have only worked one and a half years (1 FTE equivalent).

Allowance in this example calculates as $(\text{Year 2 Salary} / 52) * 4$, this figure will be rounded to the nearest whole dollar.

The funding year the leave commences from is determined using the Fellowship end date calculator, see [Appendix C](#).

Tip: Review the relevant Agreement for claimable allowance

For ARC to assess a Maternity/Parental leave variation you must include: submission of the

Variation Type	Lines needed for the variation	Required attachments
Maternity/Parental Leave	* Allowance – Maternity/Parental Leave * Project – End Date If relevant: * Project/Person – Suspension * Person – Add/Update	* HR Certification from Administering Organisation * Confirmation from Project Leader (if not taking the leave)

following:

Please refer to [Appendix B](#) of these instructions for a ‘how to’ guide in RMS.

Budget Variations

Budget – Change Notification

A *Budget Change* variation is requested when significant funding is being moved across line items for the purpose of completing the Project. All requested items must be eligible as specified in the relevant ARC Grant Agreement and Funding Rules/Grant Guidelines. The ARC has developed a general list of non-allowable items across the ARC schemes ([Variation to a Funding Agreement](#)). Note: the document is a general indicator of non-allowable items and some items will not be relevant for some schemes/rounds.

When would a variation be required?

A variation is required if funding is being spent differently from what was outlined in the original proposal and the intention of the expenditure is different, For example:

- When funds could not be spent on an item and is being repurposed in another budget category for other activity (eg: Travel to Personnel)
- When the approved funding amount is less than the requested funding amount and the budget needs to be adjusted/ re-allocated (this may also require a Scope Change)
- When moving funds from on budget category into another budget category that has a budget limit (eg: Teaching Relief / Travel)
- When requesting to use budget for a category that wasn't in the original application and is an allowable item.

When would a variation not be required?

A variation is not required if funding is being spent differently from what was outlined in the original proposal but is within the same budget category, For example:

- a Research Associate was budgeted but now a Research Assistant or PhD/HDR will undertake those tasks
- a conference in Melbourne has been replaced by a conference in Perth
- a type of software was initially budgeted but now a better/updated version is available so that version will be purchased instead
- budget changes in timing with field work, due to natural disasters or change of environmental circumstances.
- movement of funds from one year to another for the same budget item (i.e. budget carried over from one year to the next).

Some examples of acceptable budget changes

- moving funding between high-level budget categories such as personnel, travel, maintenance, equipment, other, workshops (as allowable within the relevant ARC Grant Agreement)
- from domestic to international travel
- Reallocation of the budget due to a reduction in approved grant funding (this may also involve a *Project – Scope Change*)
- movement of funds from one year to another for a different budget item.

When would a variation not be approved?

A *Budget Change* variation will not be approved if funding is being spent differently from what was outlined in the original proposal and the request is:

- asking for items that are not allowed (as per the ARC Agreements)
- approving the change would push the allowable item over the limits (i.e. travel or teaching relief)
- the request is asking to purchase an item at the end of the project, not for the benefit of the project, but for another project, or just so the University doesn't lose the funds (for example – buying \$50,000 worth of computers with only 1 month to go to the end of the project)

- asking for future years funding to be brought forward (e.g funds to be brought forward from year 3 to year 1)

Special Conditions

Changes to funds linked to special conditions, such as International Collaboration Awards or Discovery International Awards (ICA's or DIA's) or Teaching Relief may be requested, however they are still required to abide by the conditions as outlined in the ARC Agreement. If the request is not in line with the original intention of the funding or will push the funding amounts over the allowable limits, or is requesting the use of non-allowable items (i.e. converting ICA/DIA's to travel funding for non-collaboration), then the request will be declined.

Travel – economy to business class

Travel may also be upgraded from “economy” to “economy” business/business class if a medical certificate covering the travel period is provided to the HR area and certified by the Administering Organisation. Medical certificates that are dated far in advance of the travel are normally not acceptable, unless the condition is on-going or lifelong (i.e. a disability or chronic illness). Medical certificates for a specific period in the past to cover a specific injury (a medical certificate for 6 weeks for a broken arm) would not be acceptable.

Salary Conversions

If salary funds are paid from a salary line or named position (Fellowship/Award salary positions), then a *Project – Salary Conversion* variation type should be submitted. If a salary is funded from project funds only (i.e. student stipends in a DP), then a *Budget Change* variation type should be submitted instead.

If you are unsure if a position is funded from salary or project funds, try entering in a *Project – Salary Conversion* line. If the dropdown menu does not allow you to select the person/position, then it is funded through project funds.

Tip: The year in a Budget Change will refer to funding year rather than calendar year. Affected budgets should be reported based on when the activity was proposed to take place per the Project's proposal and original application.

For ARC to assess a *Budget Change* variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Budget Change Notifications	*Budget – Change Notification If relevant: *Project – Scope Change	*No attachment – Details submitted within the Variation.

Please refer to [Appendix B](#) of these instruction for a 'how to' guide in RMS.

EOYR Variations

EOYR – Expenditure Correction

If the amount previously reported during an EOYR was incorrect, a EOYR Correction maybe requested.

This variation type is commonly used when:

- funds were incorrectly reported during a previous EOYR, resulting in carryover figures being incorrect

For ARC to assess an EOYR – Expenditure Correction variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
EOYR – Expenditure Correction	EOYR – Expenditure Correction	*Details of the correct amount for each year to be corrected * Justification as to why the information was reported incorrectly

Please refer to [Appendix B](#) of these instruction for a ‘how to’ guide in RMS.

NOTE: If an EOYR – Expenditure Correction is required for a previous Admin Organisation, you will need to advise ARC via email as the current Admin Organisation is unable to make any changes on behalf of any other organisation.

Organisation Variations

All changes to Participating Organisations must be reported to the ARC via a variation. All organisations must meet the eligibility criteria specified in the relevant Agreement and [Funding Rules/Grant Guidelines](#), and should benefit the project.

Organisations – Add/Update

Organisation – Add/Update is used when:

- adding a new organisation to a project, possibly due to the movement or addition of investigators
- changing the role of the organisation on the project (used during a transfer)
- adding an organisation due to a name change (this is combined with an [Organisation – Withdraw variation](#)).

For ARC to assess an Organisation – Add/Update variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Organisation Add/Update	*Organisation – Add/Update *Person – Add/Update If relevant: *Organisation – Withdraw *Person – Withdraw	*Written confirmation from Organisation *Revised POACR (as required)

Please refer to [Appendix B](#) of these instruction for a ‘how to’ guide in RMS.

Organisations – Withdraw

All changes to Participating Organisations must be reported to the ARC via a variation. If an organisation is to be withdrawn from a project, this must be reported to the ARC via a variation. Organisations are commonly withdrawn due to the movement of investigators as well as changes in contributions and research direction.

Organisation – Withdraw is used when:

- removing an existing organisation from a project, possibly due to the movement/withdrawal of investigators or transfer of project, or if the organisation no longer wants to participate
- removing an organisation due to a name change (this is combined with an [Organisation - add/update](#)).

For ARC to assess an Organisation - Withdraw variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Organisation Withdraw	*Organisation - Withdraw If relevant: *Person – Add/Update *Organisation – Add/Update *Person – Withdraw *Project Transfer	*Written confirmation from Organisation *Revised POACR (as required)

Please refer to [Appendix B](#) of these instruction for a ‘how to’ guide in RMS.

Personnel Variations

All changes to named participants must be reported to the ARC. All existing and new participants must meet the eligibility criteria as specified in the relevant ARC Agreement and [Funding Rules/Grant Guidelines](#).

RMS profiles must be updated by Investigators to ensure all their details are correct before a variation is created (this includes all COI questions and employment history). New Investigators cannot be added to a project until the ARC is notified of their RMS profile details.

Person – Add/Update

Each request must include the person's updated and affiliated organisation as a separate variation line in RMS relevant to the project.

If an Administering Organisation does not administer the RMS account of a Participant, you will need to submit an email request to the ARC to add them to the variation. See [Supporting documents for variations submitted outside of RMS](#)

Sometimes a Participant's affiliated organisation is not be the same as the organisation that administers a participant's RMS account. For example: an investigator may be employed at two universities, their RMS account administered by one, and their participation on the project is affiliated to the second university. In this example, both Universities should be listed as employers in their RMS profile. The second university will be unable to select the participant and must request that the ARC do this in their behalf.

Person – Add/Update is used when:

- adding a new investigator to a project (may need additional variation line type to add their Participating Organisation if the organisation isn't already on the project)
- changing the role of the investigator on the project (from a Chief Investigator to Partner Investigator)
- changing the FTE of an investigator for Fellowships (not required for DP, LP, LE, IN)
- changing the organisation affiliation for an investigator on the project (may need additional variation line type to add/update/remove the original participating organisation).

Tip: As Discovery Projects (DP) are awarded on the qualifications of the CI's and the specific team listed in the application the ARC will decline a request for new investigator to be added to a DP project without exceptional circumstances.

For ARC to assess a Person – Add/Update variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
New Chief Investigator	*Person – Add/Update If relevant: *Organisation – Add/Update *Organisation – Withdraw *Project Transfer *Allowance – Maternity/Parental Leave	*CV of new personnel (2 pages maximum) * Written confirmation from affiliated organisation
New Partner Investigator	*Person – Add/Update *Organisation – Add/Update If relevant: Organisation – Withdraw	*CV of new personnel (2 pages maximum) *Agreement from affiliated organisation

New Director (CE/ ITRP)	<p>Please refer to the grant agreement and consult with the ARC major investments team before proceeding with this VFA.</p> <p>*Person – Add/Update (for incoming Director) Person – withdraw (if necessary) for outgoing Director *Organisation – Add/Update (or) Organisation – Withdraw (if necessary)</p>	*Documentation and justification as advised by Major Investments team.
New COO (Note ITRP Business Managers may use the role COO to be added to the Project).	<p>*Person – Add/Update *Organisation – Add/Update</p>	<p>*CV of new personnel * Justification for selection of candidate.</p>
PDRA/PGR appointment (Laureate Schemes prior to FL18 only)	<p>*Person – Add/Update *Person – Withdraw (if relevant)</p>	*No attachment - Details submitted within the Variation.

Please refer to [Appendix B](#) of these instructions for a 'how to' guide in RMS.

Please refer to [Appendix C](#) for guidance on calculating new End Dates due to FTE changes.

Person – Additional Appointments

This variation type is only for participants who hold ARC Fellowships or Awards.

As Fellowships/Awards are research intensive positions, any additional appointments should be justified and significantly benefit the Fellow/Awardees career. Requests for additional appointments must also comply with the relevant scheme specific ARC Agreements.

If the additional appointment is for an administrative role at a University (e.g. Dean or Head of School), it would be expected that their ARC salary is relinquished to match the FTE amount spent on the position, unless it was deemed to be a short-term emergency appointment (i.e. four weeks due to a death or sudden illness of the person who holds the position) and approved by the ARC Post Award Delegate.

Tip: The ARC will not accept requests to convert the Fellowship/Award to part time and extend the end date for the purposes of holding an administrative position at the Administration Organisation.

For ARC to assess an Additional Appointment notification variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Additional Appointment Notification	<p>*Person – Additional Appointment</p> <p>If relevant:</p> <p>*Person – Add/Update</p> <p>*Project – End Date</p> <p>*Project – Salary Relinquishment</p> <p>*Project – Suspension</p>	*No attachment - Details submitted within the Variation.

Please refer to [Appendix B](#) of these instruction for a 'how to' guide in RMS.

Person – Defer Commencement

The commencement of some non-named salaried participants (i.e. Post Graduate Researcher/Post-Doctoral Research Associate/Higher Degree by Research) can be deferred beyond the start date of the project. Although it is allowed, there are strict limits on when and for how long a deferment maybe requested. The request can also only be made for named participants. Please refer to the relevant ARC Agreement for guidance on whether the start date falls within the allowable period or the limit on when a request maybe submitted.

Person – Defer Commencement is used when:

- a PDRA/PGR/HDR position (named and funded) on an ARC grant is moving to Australia or is on approved leave (i.e. maternity/ parental /personal leave) and they cannot commence by the commencement date, as defined in the ARC Agreement.

Tip: If a sole investigator wishes to defer the commencement of a project, then a [Project – Defer Commencement](#) should be requested, not a *Person – Defer Commencement*.

Tip: Due to the deferred start date, the term of a PGR, named on Australian Laureate Fellowships, may be extended beyond the completion date of the project, for the purpose of meeting PhD requirements. However, a PGR can only receive funding for a maximum period of up to four years as the ARC will not provide additional funding to cover any extension to the study period of the award and the final end date of the project cannot be more than 8 years from the project commencement.

For ARC to assess a Person – Defer Commencement variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Person – Defer Commencement	*Person – Defer Commencement	*No attachment - Details submitted within the Variation.

Please refer to [Appendix B](#) of these instructions for a 'how to' guide in RMS.

Person – Suspension

If a salaried participant (Fellow/Awardee) is required to step away from the project for a period (usually more than four weeks), for exceptional circumstances, but the project will continue in their absence, they may request a *Person – Suspension*.

Person – Suspension is used when a Fellow/Awardee takes leave for:

- Maternity/Parental leave
- to take care of a family member
- to undertake an exceptional career opportunity
- medical reasons.

A *Person – Suspension* request will only be considered if it meets the following criteria:

- the project will continue in their absence by other named participants.
- the suspension is for a salaried position only (Fellow/Award) or named student position (PDRA/PGR).
- the suspension is allowable, as per the ARC Agreements (*noting that there are limits on how long suspensions may be requested for – please see the relevant ARC Agreement for limits*).
- the suspension has been fully justified, including the exceptional circumstances to approve the suspension.

For ARC to assess a Person – Suspension variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Person – Suspension	*Person – Suspension If relevant: *Person – Add/Update *Project – End Date	*No attachment - Details submitted within the Variation.

Please refer to [Appendix B](#) of these instructions for a 'how to' guide in RMS.

Person – Withdraw V2

If an investigator is to be withdrawn from a project, this must be reported to the ARC via a variation.

Person – Withdraw is used when:

- due to the withdrawal of organisations, the project is transferred the investigator no longer wants to participate or becomes ineligible to remain on the project
- researcher is over project eligibility limits.

Up to 500 words should be entered into the justification outlining the reason for removing the person. This should be the 'WHY' for the request. You must explain how the impact of the withdrawal will be managed.

For ARC to assess a Person – Withdrawal variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Person – Withdraw V2	*Person – Withdraw V2 If relevant: *Organisation – Withdraw *Person – Add/Update	For a CI * Written confirmation from personnel to be removed For a PI * Written confirmation from either the person or from their organisation If Person – Withdraw V2 is related to the death of the Participant, please contact ARC for advice.

Tip: The ARC Grant Agreement states there must be one original CI on the project to continue the research. If a project is being conducted by a sole CI and they wish to withdraw from the project, the project will need to be relinquished.

Please refer to [Appendix B](#) of these instructions for a 'how to' guide in RMS.

Project Variations

Project variations affect duration, administration, and funding of an awarded project. Changes must meet the eligibility criteria as specified in the relevant ARC Agreement and [Funding Rules/Grant Guidelines](#). If a project variation is a financial variation, the ARC Post Award team will seek verification of the data entered if it isn't provided in the justification.

Project – Deferred Commencement

A project deferred commencement request must occur before the final date of commencement, as specified in the relevant ARC Grant Agreement. There are strict limits on when and for how long a deferment may be requested. No ARC funds can be spent on the project until the new commencement date. Please refer to the relevant ARC Agreement for guidance on whether the start date falls within the allowable period or the limit on when a request may be submitted.

For advice on deferring the commencement of Industrial Transformation Research Program (ITRP) and Centres of Excellence (CoE), please consult with the ARC Major Investment team.

Project – Deferred Commencement is used when:

- Participating Organisation Agreements are yet to be signed by all named participating organisations.
- a Fellow/Awardee is on maternity leave or other approved leave.
- eligibility limits will be breached if the Project commences (noting there are limits on extensions for this reason).
- the project was transferred before it commenced, delaying the start date.

Tip: A *Project – End date* is NOT required for this type of request as it is already included in the *Project – Deferred Commencement* line.

For ARC to assess a *Project – Deferred Commencement* variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Project – Deferred Commencement	Project – Deferred Commencement	*No attachment - Details submitted within the Variation.

Please refer to [Appendix B](#) of these instructions for a 'how to' guide in RMS.

Project – End Date

Project Activity Period Ends when the ARC funded project activity is completed and all Grant Funds are spent. Once ARC funds are fully expended, the ARC considers the project to be completed and the end date should match this activity. Requests to extend the end date beyond this time will not be approved.

The ARC generally allows an extension on most schemes beyond the original project end date (providing there is project funds available) (excluding any approved extensions). End date requests are not approved without sufficient justification.

For further advice on Project – End Dates for Industrial Transformation Research Program (ITRP) and Centres of Excellence (CoE) and Special Research Initiative Excellence in Antarctic Science (SRIEAS), please consult with the ARC Major Investment team.

A **Project - End Date variation** request will only be considered if it meets the following criteria:

- The request directly relates to a change in the project that impacts spending e.g. maternity/paternity leave, suspension, part-time conversion, deferred commencement, requesting a financial carryover outside of the EOYR process, or to meet an eligibility requirement (if allowed in the relevant ARC Agreement).
- If the Project end date needs to be changed for the purposes of submitting a Final Report, written confirmation must be provided, confirming that the project has ended (including the actual end date) and that all the ARC funds have been expended. This action will confirm that all remaining funds from the change of the end date will be recovered by the ARC.
- A project end date cannot be brought forward to be less than the ARC granted funding period. Should the project conclude before the original end date of the funding period, funds may be relinquished by the ARC.
- A project that has entered its final year and seeks extension outside of the EOYR process.

Project – End Date is used when:

- extending an end date due to a change in FTE, approved leave, suspension or request carryover of funds
- reducing the length of a project due to all funds being spent
- complying with ARC eligibility requirements
- extending the end date due to a force majeure event that impacts the performance of the project.

For ARC to assess a Project – End date variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
End Date	Project – End Date If relevant: *Person – Add/Update *Project - Suspension	*No attachment - Details submitted within the Variation.

Please refer to [Appendix B](#) of these instructions for a 'how to' guide in RMS.

Please refer to [Appendix C](#) for guidance on calculating new End Dates due to FTE changes.

Project – Full Relinquishment

If there are residual funds at the completion of a project, the residual funding must be returned to the ARC, or a project has not commenced and the Administering Organisation is now relinquishing the grant, a *Project – Full Relinquishment V2* variation is required to return all remaining funds to the ARC.

For a project to be relinquished, full expenditure figures (to date) must be included within the justification. Universities are not to include project expenditure after the agreed relinquished date, but may include committed costs (i.e. outstanding invoices).

Administering Organisations should ensure that they have acquitted all funds prior to submitting a *Project – Full Relinquishment V2* request, as the ARC will not reimburse the recovered funds.

Project – Full Relinquishment is used when:

- a Fellow/Awardee does not take up the grant or leaves before completion
- the investigators do not meet the eligibility criteria to hold the grant
- contract negotiations fail and the project is not eligible to commence (Linkage Program)
- there is a serious breach or integrity misconduct issue and ARC recovers all funding.

ARC have updated the *Project – Full Relinquishment V2* variation type to include two new features:

- Relinquishment Amount (auto-calculated)
- Relinquishment Reason (drop-down)

These new features are to assist with advising both the Research Office and ARC Post Award how much money is to be returned to the ARC immediately and provide better reporting on why projects are returning funds to the ARC.

Tip: If the request for relinquishment is only for a specific amount but the project is still ongoing, a [Project – Partial Relinquishment](#) variation should be requested. A *Project – Full Relinquishment V2* variation should only be requested where the project is returning all remaining funds to the ARC and the project will end.

Considerations of the Agreement when submitting a Full Relinquishment

- do not include salary commitments after the project has been relinquished as expenditure
- all figures reported to the ARC must include **ALL** spent and unspent funds, regardless of their location. This includes funds that are not located at the Administering Organisation, and/or funds that are currently credited to another account code such as salaries, instead of the project itself
- The 'Indexed Amount' column will not appear for all future years.

For ARC to assess a *Project – Full Relinquishment V2* variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Full Relinquishment V2	Project – Full Relinquishment	*No attachment - Details submitted within the Variation.

Please refer to [Appendix B](#) of these instructions for a 'how to' guide in RMS.

Project – Partial Relinquishment

On occasion, some funds need to be returned to the ARC, while the project is still ongoing (i.e. has not come to an end). If this happens, a *Project – Partial Relinquishment* variation will have to be completed.

For a project to be partially relinquished, only the funding years are being relinquished must be completed. To relinquish the funds, you must enter the amount that is to remain on the project only and the ARC will recover the difference.

Tip: If the request is for the full amount or the Project has come to an end, a *Project – Full Relinquishment* variation should be requested instead. If the amount to be recovered is only for the salary component of a Fellowship/Award, a *Project – Salary Relinquishment* variation should be used.

Project – Partial Relinquishment is used when:

- relinquishing funding under special condition cannot be used for their original purpose and are not approved to be used for another purpose by the ARC
- recovering ARC funds due to a serious breach or misconduct.

Considerations to observe when submitting a Partial Relinquishment

- Is this the correct type of relinquishment variation to be used?
- The 'Indexed Amount' column will not appear for all future years.

For ARC to assess a *Project – Partial Relinquishment* variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Partial Relinquishment	*Project – Partial Relinquishment	* No attachment - Details submitted within the Variation.

Please refer to [Appendix B](#) of these instructions for a 'how to' guide in RMS.

Project – Salary Conversion

ARC may allow the conversion of identified salary funds for a Fellowship/Award to be converted to project funds to be used for other purposes.

For salary funds to be converted, the full amount of salary spent must be included within the request and you must also note the amount that is to remain on the salary, not the amount to be converted to project funds.

Tip: When entering in the amount to convert, you will see the amount listed under the project funds adjusting. This will represent the new split between the salary and project funds.

Considerations when submitting a Salary Conversion

- Is this the correct type of variation to be used? If the salary is already funded through project funds, then a *Budget Change* variation should be requested.
- The 'Indexed Amount' column will not appear for all future years.

Project – Salary Conversion is used when:

- converting Fellow/Award salary to Project funds
- converting a salary that was awarded to a named position (i.e. PDRA/PGR) and the position remained vacant.

For ARC to assess a *Project – Salary Conversion* variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Salary Conversion	*Project – Salary Conversion If relevant: *Project – Scope	*No attachment - Details submitted within the Variation.

Please refer to [Appendix B](#) of these instructions for a 'how to' guide in RMS.

Project – Salary Relinquishment

On occasions, salary funds have to be returned to the ARC, however the project is still ongoing (i.e. has not come to an end). If this happens, a *Project – Salary Relinquishment* variation must be completed.

For salary funds to be relinquished, the full amount to be relinquished must be included within the request, and you must also confirm the amount that is to remain on the project – not the amount to be recovered by the ARC.

Tip: If the request is for the full amount or the project has come to an end, a *Project – Full Relinquishment* variation should be requested. If the amount to be recovered is not for the salary component of a Fellowship/Award, a *Project – Partial Relinquishment* variation should be used.

Considerations when submitting a Salary Relinquishment

- Is this the correct type of relinquishment variation to be used? If there are projects to be relinquished, then this is not the correct Variation to use, you should use *Project – Full Relinquishment*.
- The 'Indexed Amount' column will not appear for all future years.

Project – Salary Relinquishment is used when:

- relinquishing Fellow/Award salary due to an additional appointment
- relinquishing a salary that was awarded to a named position (i.e. PDRA/PGR) and the position remained vacant.

For ARC to assess a *Project – Salary Relinquishment* variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Salary Relinquishment	*Project – Salary Relinquishment	*No attachment - Details submitted within the Variation.

Please refer to [Appendix B](#) of these instructions for a 'how to' guide in RMS.

Project – Suspension

A *Project – Suspension* is normally requested when, for example due to exceptional circumstances, no one can work on the project (usually for 4 weeks or more).

Project – Suspension is used when:

- serious illness, care for family member or maternity leave (usually for a sole CI grants and Fellowships) impacts project progression
- exceptional career opportunity arises (usually for a sole CI grants and Fellowships)
- delays in transferring an ARC grant
- restrictions in fieldwork resulting in ability to access the site or would result in danger to the researcher, such as natural disaster or political unrest
- default of Partner Organisations.

The ARC Agreement may have different requirements and limitations on suspensions for each scheme. It is advised that participants make themselves aware of these limitations when requesting long or multiple suspension requests.

When suspending a project (as opposed to the person) ARC funding cannot be spent during the suspension period. If funding is planned to be spent while a Fellowship is suspended (for example, a student hired on the Project intends to continue to work while the DECRA recipient is on maternity leave), a *Person – Suspension* must be requested.

Tip: *Project – Suspensions* cannot be requested to delay the commencement of a project. If a project has not commenced, a *Project - Defer Commencement* variation must be submitted.

For ARC to assess a *Project – Suspension* variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Project – Suspension	*Project – Suspension *Project – End date	*No attachment - Details submitted within the Variation.

Please refer to [Appendix B](#) of these instructions for a 'how to' guide in RMS.

Project – Scope

A *Project – Scope Change* variation is used if there is a significant change in the projects scope, e.g. the direction of the project is changing significantly from the original proposal (due to personnel, budget, research field availability, equipment availability etc).

As the ARC Agreements have strict guidelines as to how the ARC will allow its funds to be used, it is important to consider if the changes will impact on how the originally funded project will be affected and if the scope change will drastically change the project moving forward.

For Industrial Transformation Research Program (ITRP) and Centres of Excellence (CoE) and large scale Special Research Initiatives (SRI), it is imperative that you consult with the ARC Major Investments team prior to submitting a request.

Project – Scope is used when:

- scope changes are made due to the approved project budget being less than requested and does not allow for all aims to be completed. With the removal of some funded activities, the researchers can seek other funds, but must avoid Commonwealth overlap
- events such as natural disasters inhibit a component of the research from being undertaken by the current project.

Tip: the ARC will not approve *Project – Scope* changes if they are being requested in order to meet a Partner Organisation's requirements (i.e. contract research).

Tip: Scope change variations for Industrial Transformation Research Program (ITRP) and Centres of Excellence (CoE) and large scale Special Research Initiatives (SRI) must be discussed with the ARC major investments team prior to submission.

For ARC to assess a Project – Scope variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Scope	<p>*Project – Scope</p> <p>If relevant: *Budget – Change Notification</p>	*No attachment - Details submitted within the Variation.

Please refer to [Appendix B](#) of these instructions for a 'how to' guide in RMS.

Project – Transfer

A *Project – Transfer* may be requested if the project is still active and there are ARC funds still available for expenditure, and if all parties agree to the transfer.

Project – Transfer is used when:

- the Project Lead changes institutions and wants to take the grant with them
- there is a change in Project Lead and the Project moves to the new leader's employing institution.

For a project to be transferred, the new organisation must be listed as an Eligible Organisation as specified in the relevant ARC Agreement. If they are not listed, they will not be able to become the Administering Organisation for the project.

Tip: If not already in place, an ARC Agreement will also have to be accepted in RMS, prior to the organisation being able to become the new Administering Organisation.

Transfer requests must always be fully justified and outline the reason for the transfer, how it will benefit the project and what (if any) affect it will have on any students or employees involved in the project. Transfer requests are also expected to have the agreement of all parties listed on the project.

Requests for Fellowship/Awardee transfers will also require additional justification from the DVCR (or their delegate) from the receiving Administering Organisation. This justification should outline the exceptional reasons for the transfer, how the new research environment will benefit the Fellow/Awardee.

All ARC funds must be declared before the transfer. By not declaring all ARC funds at the Administering Organisation will be in breach of the ARC Agreement and may be considered as fraudulent activity.

When calculating the transfer amount, the following **MUST** be adhered to:

- the total amount that the project was allocated for all years up to and including the current year must be included, not just the amount that the organisation has received so far
- future years' allocation will not appear in the 'Total Allocated' column as this will be transferred automatically and the relinquishing Administering Organisation cannot claim this funding as expenditure
- if the project had previously been transferred, only the amount paid to the relinquishing Administering Organisation will appear in the 'Total Allocated' column
- full expenditure figures (to date) must be included within the request. Do not include salary commitments after the project has been transferred as expenditure
- all figures reported to the ARC must include ALL spent and unspent funds, regardless of their location. This includes funds that are not located at the Administering Organisation, and/or funds that are currently credited to another account code such as salaries, instead of the project itself
- only leave accumulated during the Fellowship/Awardee, can be paid out from the on-costs. Leave accumulated before or outside the Fellowship/Award (i.e. in another appointment) cannot be charged to the project
- the last year's EOYR should be confirmed with the transfer amount, so that the transfer amount is not less than the amount previously reported as spent in the EOYRs

Transfers cannot be requested if all ARC funds have been spent.

For ARC to assess a Project – Transfer variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Transfer	<ul style="list-style-type: none"> *Project – Transfer request *Organisation – Withdraw (or) Organisation – Add/Update *Person – Add/Update 	<ul style="list-style-type: none"> * Written confirmation from receiving Administering Organisation * Written confirmation from all named Organisations (excluding Host Organisations)
Transfers – Fellowships only	If relevant: <ul style="list-style-type: none"> *Project – Defer Commencement *Project Suspension / End date 	<ul style="list-style-type: none"> * Written confirmation from receiving Administering Organisation * Written confirmation from all named Organisations (excluding Host Organisations) *Letter of justification and research environment statement from receiving Administering Organisation DVCR (or their delegate)

Please refer to [Appendix B](#) of these instructions for a 'how to' guide in RMS.

Variations submitted outside of RMS

Project – Transfer Corrections

If the amount previously reported during a transfer was incorrect, a *Project – Transfer Correction* may be requested.

Project - Transfer Correction is used when:

- there are additional funds to be transferred to the new Administering Organisation that were not included in the original transfer (i.e. funds located at another organisation on the grant)
- there are funds to be returned to the previous Administering Organisation as costs associated with the project were not identified at the time of transfer.

For ARC to assess a Project – Transfer Correction variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Transfer Correction	*Approval from the Administering Organisation/s *Details of the correct transfer amount to be transferred	* Written confirmation from both current and previous Administering Organisation on the effective changes to the funding received *Details of the correct amount to be transferred *Justification as to why the information was reported incorrectly

For these variations, Research Offices must email the relevant details/documentation to the Post Award email for processing as outlined in [Appendix A](#) of these instructions.

Project – Asset Relocation

Assets purchased with ARC Funding must be purchased for the exclusive purposes of the project for the duration of the 'Project Activity Period'. It is expected that unless otherwise approved by the ARC, the ownership of any Asset purchased wholly or partly with the funding shall be vested in the Administering Organisation, located on its campus and listed in its assets register unless:

- it was specified in the original proposal as being located at another organisation
- the project is terminated
- the project is transferred to another organisation.

If an organisation would like to relocate an asset to another location, such as another university on the grant, and the project is not transferring, a variation must be submitted to the ARC for approval.

This variation type is commonly used when the distribution of ARC funded equipment is being relocated to another organisation listed on the project.

For ARC to assess an Asset – Relocation variation, you must include:

Variation Type	Lines needed for the variation	Required attachments
Asset Relocation	<p>*Asset Relocation</p> <p>If relevant:</p> <p>*Budget Change</p>	*Confirmation from all named Organisations (excluding the Administering Organisation) regarding the new arrangements

Person – Add/update (that cannot be done in RMS)

There are some instances when an RO cannot add or change a new investigator on a project. In this instance, the ARC staff will need to create the variation. For example, if you are adding a researcher from an Other Organisation.

Person – Add/update (outside of RMS) is used when:

- adding a new investigator to a project
- change the role of the investigator on the project (from a Chief Investigator to Partner Investigator)
- change the FTE of an investigator (commonly used in Fellowships)
- change the organisation affiliation for an investigator on the project.

RMS profiles should be updated by Investigators to ensure all their details are correct before the variation can be processed, including their Affiliation, Non-Australian Research and COI questions.

Each request must include their updated and relevant affiliated organisation, relevant to the project. Noting that the Administering Organisation for the project may not be the same Administering Organisation on the researcher's profile. For example: an investigator may be employed at two universities and their RMS account administered by one, however they are participating on the project as it is connected to the second university.

New Investigators cannot be added to a project until the ARC is notified via email of the following:

- Their RMS profile details (email address affiliated with their RMS account)
- If the person is being added, the request needs to list their full name, role, affiliated organisation on the project, FTE the investigator is working on the project and the effective date the addition/change happened. The effective dates should match the dates the organisation is being added/updated (if relevant).
- Sufficient justification should be provided in the email outlining **“WHY”** the person is requesting to be added to the project.

For these variations, Research Offices must email the relevant details/documentation to the Post Award mailbox for processing as outlined in [Appendix A](#) of these instructions.

Partner/Participating Organisation Agreed Contribution Report (POACR)

All Administering Organisations are required to enter agreed Cash and In-Kind Contributions in RMS after it has signed a written agreement with all named Participating Organisations listed on the Project.

An updated POACR is required with the [addition and/or withdrawal of a Participating Organisation](#). Before entering the contribution, please refer to the ARC Agreements for each year's organisation contribution requirements.

Information drawn from the most recently approved POACRs are then used to confirm the contribution amounts for the Project, to ensure the eligibility is being adhered to (Linkage Program).

Should a POACR be required due to financial contribution changes throughout a project, a POACR will need to be approved prior to submitting the ARC Final Report as the information is auto populated into the Final Report.

Creating a POACR in RMS

IMPORTANT: Please **do not** create a POACR for a new organisations contribution, until the ARC has **approved the addition of the new organisation**. The POACR will **only** populate with the organisations listed on the Project. If the POACR has been created in error, it can be deleted.

To create a POACR in RMS, you must do the following:

- In RMS, search for the Project that a POACR needs to be created for.
- Select the 'Reports' tab within the Project and press the *Create New Partner Organisations Agreed Contribution Report* button.

Action Centre / Research Office Projects / Project Code(Funded)

Pre Award Details Project Details Financials Variations **Reports** Comments History

Create New Partner Organisation Agreed Contribution Report

- Click *Create Report* when 'Create a new Partner Organisation Agreed Contribution report appears.

Create a new Partner Organisation Agreed Contribution Report for DP*****?

Create Report

Cancel

- Once selected, a DRAFT report will appear on the list.
- Click the *Form* button located next to the report that you want to update.

Date	Type	Status	
01/07/2023 08:05:16 AM	Partner Organisation Agreed Contribution Report	Draft	Form Delete

- f) Enter each Organisation's cash and in-kind contributions in the Updated Contributions section in the lower half of the screen (in white). As information is populated, the Updated Contribution Totals will then automatically update the totals.

Please Note: Only the original funded years will appear in the table. Funds should be entered against the years as they were awarded, regardless of if there was a deferred commencement. If contributions were provided after the original funded years, they may be entered into the last year of the table.

Please Note: The years in the POACR refer to funding year rather than calendar year. Funds should be entered against the year the activity is intended to take place regardless of periods of deferred commencements or suspensions. Should additional contributions be provided after the original funding years, they may be entered into the last year of the table.

- g) Research Office Comments has been added to allow you to provide some information (if required) about the new contributions being recorded.

Research Office Comments

0 characters. 500 characters maximum.

- h) Click *Save* when all contributions have been entered and *Close* the screen.
i) The POACR is now ready to be certified. Click the *Certify* button on the right of the report.

Date	Type	Status	
13/07/2023 08:05:16 AM	Partner Organisation Agreed Contribution Report	Ready to Submit	<div>Form</div> <div>Delete</div> <div>Certify</div>

- j) A new *Certify Partner Organisation Agreed Contribution Report* for the Project ID pop-up window will appear, asking to certify the Partner Organisation Contributions.
k) Click *Agree to certification* to certify the contributions or *Cancel* to go back to the form.

Certify Partner Organisation Agreed Contribution Report for DP*****

Certification by the Responsible Officer or their delegate or equivalent in the Administering Organisation

I certify that the amounts of Partner Organisation contributions are correct as provided for in our Agreement with the Partner Organisation(s).

Agree to certification

Cancel

l) Following certification there will be option on the Reports page *Submit to ARC* or *Decertify* the contributions, to edit the form.
m) Click *Submit to ARC* to submit POACR to the ARC.

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Date	Type	Status				
13/07/2023 08:22:46 AM	Partner Organisation Agreed Contribution Report	Certified	Form	Delete	Decertify	Submit to ARC

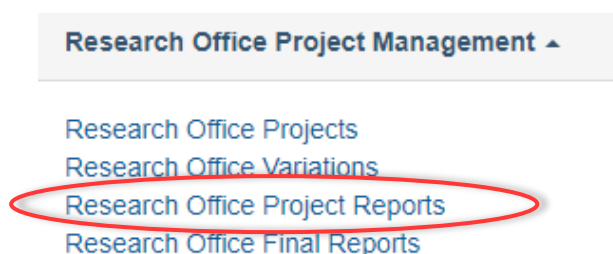
Once a POACR has been submitted to the ARC in RMS, the ARC will then review the report. The Research Office may request the POACR be un-submitted or may be un-submitted by the ARC to seek further information. This will update the POACR's status to 'Ready to Submit'.

Once the report has been returned to the Administering Organisation, the POACR can then be adjusted accordingly and resubmitted back to the ARC by following steps h) to l) above. Once approved by the ARC the status will appear as 'Accepted'.

Search for a POACR in RMS

Once a POACR has been created in RMS, it can be located via the Project Reports tab:

- In the Research Office Project Management section of the Action Centre, click on Research Office Project Reports.



- Search for the relevant Project by selecting the 'Partner Organisation Agreed Contribution Report (POACR)' from the *Report* drop down list.
- Then filter on the *Scheme*, *Scheme Round* or *Status* drop down lists. Alternatively, the *Search* bar can be used to search on the Project ID, Project Title or First Investigator.

Draft Proposals	Proposals	Rejoinders	Projects	Variations	Monitoring Reports	Final Reports
Report Partner Organisation Agreed Conl ▼		Scheme -- Select -- ▼		Search Project ID/Title/Investigator/Organisat		Status All States

- Upon finding the Project, click on 'Form' under Research Office Projects

Project	Select	Chief Investigator	Title	Report Status	Last Status Change	
Project Code		Lead CI	Project Title	Submitted to ARC	15/02/23 03:26 PM	Details Form

Appendix A – ARC Variations – Supporting Documentation

Justification for variations must be communicated in the justification field. Some variations submitted to the ARC may also require additional evidence/approvals from participants on the project.

If these are required, they will be listed below. Multiple files can be compressed in a zipped folder and uploaded as one file such as when the ARC requests additional documentation.

The ARC will consider all variations that are submitted to the ARC, as approved, and certified by the Administering Organisation and Project Leader. Additional approvals are not required unless requested by the ARC.

Supporting documents for variations submitted via RMS

Variation	Attachment	May include variation
Allowance – Maternity / Parental Leave	<input type="checkbox"/> HR Certification from Administering Organisation <input type="checkbox"/> Confirmation from Project Leader (if not the Project Leader)	Project/Person – Suspension Project – End Date Person – Add/Update
Budget – Change Notification		Project – Scope Change
EOYR – Expenditure Correction		
Organisation – Add/Update	<input type="checkbox"/> Written confirmation from Organisation	Organisation – Withdraw Person – Withdraw Person – Add/Update
Organisation – Withdraw	<input type="checkbox"/> Written confirmation from Organisation	Organisation – Add/Update Person – Withdraw Person – Add/Update Project – Transfer
Person – Add/Update	<p>If an Investigator (CI or PI) is new to the project</p> <input type="checkbox"/> CV of new person personnel (2 pages maximum) <input type="checkbox"/> Employment History completed in User Profile <input type="checkbox"/> Conflict of Interest completed in User Profile <input type="checkbox"/> Written confirmation from Affiliated/Partner Investigator's Organisation (if relevant) <p>If a Director (CE/ITRP) is new to the project</p> <input type="checkbox"/> Documentation as advised by Major Investments team <p>If a COO is new to the project</p> <input type="checkbox"/> CV of new personnel <input type="checkbox"/> Justification for selection <p>Role change: Chief/Partner Investigator; <input type="checkbox"/> Written confirmation from relevant personnel <input type="checkbox"/> Written confirmation from Partner Investigator's Organisation (if relevant) </p>	Project – Transfer Person – Withdraw Organisation – Withdraw Organisation – Add/Update Allowance – Maternity / Parental Leave Person – Withdraw (required) specific to CE/ITRP

Variation	Attachment	May include variation
	Postgraduate Researcher or Postdoctoral Research Associate: <input type="checkbox"/> None Affiliation change: Organisation is in the project <input type="checkbox"/> Written confirmation from person changing Organisation is new to the project <input type="checkbox"/> Written confirmation from person and organisation FTE change: <input type="checkbox"/> FL/FT/DE required <input type="checkbox"/> DP if reducing to less than 0.2FTE	
Person – Additional Appointment Notification		Person – Add/Update Project – End date Project – Salary Relinquishment Project - Suspension
Person – Defer Commencement		Person – Add/Update
Person – Suspension		Project – End Date Person – Add/Update
Person – Withdraw V2	When a CI <input type="checkbox"/> Written confirmation from person removed When a PI <input type="checkbox"/> Written confirmation from person removed or from their organisation	Organisation – Withdraw Person – Add/Update
Project – Defer Commencement		
Project – End Date		Person – Add/Update Project – Suspension
Project – Full Relinquishment V2		
Project – Partial Relinquishment		
Project – Salary Conversion		Project – Scope
Project – Salary Relinquishment		
Project – Scope		Budget – Change Notification
Project – Suspension		Project – End date
Project – Transfer	<input type="checkbox"/> Written confirmation from receiving Administering Organisation <input type="checkbox"/> Written confirmation from all named Organizations (excluding Host Organisations) <input type="checkbox"/> Fellowships only – Letter of justification and research environment statement	Organisation – Withdrawal Organisation – Add/Update Person – Add/Update Project – Defer Commencement Project – Suspension Project – End Date

Variation	Attachment	May include variation
Project – Transfer Correction	Requested by Research Offices via email only. <input type="checkbox"/> Written confirmation from both current and previous Administering Organisation <input type="checkbox"/> Details of the correct amount to be transferred <input type="checkbox"/> Justification as to why the information was reported incorrectly	
EOYR – Expenditure Correction	<input type="checkbox"/> Requested by Research Offices via email only <input type="checkbox"/> Details of the correct amount for each year to be corrected <input type="checkbox"/> Justification as to why the information was reported incorrectly	

Supporting documents for variations submitted outside of RMS

Some variations are not able to be submitted to the ARC via RMS. These include:

- Transfer Corrections
- EOYR Corrections
- Asset Transfer
- the addition of new investigator (if their RMS account is not administered by the Research Office).

For these variations, Research Offices must email the relevant details/documentation to the Post Award mailbox for processing as outlined below.

Transfer Correction

- ☐ Agreement from the current and previous Administering Organisation agreeing to the new funded amounts paid to each Organisation. This should include effective changes to project funding and salary funding (where applicable) received.
- ☐ Details of the correct transfer amount to be transferred
- ☐ A statement as to why the information was reported incorrectly
- ☐ An approved EOYR Expenditure Correction correcting EOYRs for a previous Admin Org would imply a transfer correction is also required.

New Chief Investigator added to the Project

Only to be used for when an investigators RMS account is not administered by the Administering Organisation

- ☐ CV of new personnel (up to 2 pages)
- ☐ Confirmation from affiliated organisation

Appendix B – ARC Variations – How to

Allowance Variations

Allowance – Maternity/Parental leave

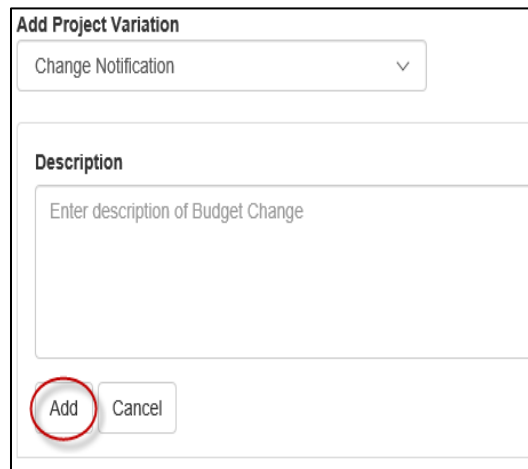
The screenshot shows a web form titled 'Add Project Variation'. It has four main sections: 'Add Project Variation' with a dropdown menu set to 'Maternity/Parental Leave'; 'Select Participant' with a dropdown menu set to 'Professor Isabel Test'; 'Start Date' with a text input field containing '03/08/2011'; and 'End Date' with a text input field containing '09/11/2011'. To the right of each input field is a red arrow pointing left towards the field, and a text label: 'Drop down list', 'Selected Participant', 'Start Maternity Leave', and 'End Maternity Leave' respectively. At the bottom left, there are two buttons: 'Add' (circled in red) and 'Cancel'.

- Select *Maternity/Parental Leave* from the 'Add Project Variation' drop-down menu.
- Select the participant who the maternity/parental leave is being applied for, from the 'Select Participant' drop-down.
- Enter the intended maternity/parental leave start and end dates into the appropriate fields.
- Select 'Add'
- Provide details of the leave in the Justification box (up to 500 words).
- Select the 'Choose File' button and then press '**Upload**' to attach the relevant documentation for the entire request, noting that it can only be one attachment.
- 'Save' and 'Close' the request
- Check the variation details and submit to the ARC.

[Back to Allowance – Maternity/Parental leave](#)

Budget Variations

Budget – Change Notification



- a) Select *Change Notification* under the Budget heading from the 'Add Project Variation' drop-down.
- b) Enter a description of the proposed budget item changes, including amounts and location/years, using the format \$X from [current budget item category] Year X to [new budget item category] Year Y Note: "year" refers to funding year, not calendar year.
i.e. "\$15,000 from Year 2 Travel to Year 3 Other"
- c) Select 'Add'
- d) Provide details of the budget changes in the Justification box (up to 500 words).
- e) Documentation is not required for this type of variation, unless requested by the ARC.
- f) 'Save' and 'Close' the request.
- g) Check the variation details and submit to the ARC.

[Back to Budget – Change Notification](#)

EOYR Variations

Expenditure Correction

Add Project

Variation

Expenditure Correction

Select Organisation

Reporting Year	Allocation (inc Carryover)	Expenditure	Carryover	New Expenditure
2020	\$124,480.96	\$328.37	\$124,152.59	\$ <input type="text"/>
2021	\$250,874.25	\$29,535.60	\$221,338.65	\$ <input type="text"/>
2022	\$349,200.83	\$16,308.29	\$332,892.54	\$ <input type="text"/>
2023	\$332,892.54	\$137,625.77	\$195,266.77	\$ <input type="text"/>
2024	\$195,266.77	\$143,790.90	\$51,475.87	\$ <input type="text"/>

Effective Date

Enter effective date

- At least one expenditure must be provided
- Please enter an effective date

Add

Cancel

- Select the Organisation that requires a correction to its EOYR expenditure.
- A table will populate which will display available EOYR Years that may be corrected.
- Enter New Expenditure for the relevant Reporting Years. A null, blank or no entry will mean the expenditure reported is unchanged.
- As New Expenditure is filled, Carryover will reflect changes
- Once completed, click 'Save' to save the variation line.
- Provide Justification for the changes.
- If correcting records for a previous Admin Org, an attachment must be provided for their confirmation of a change.

[Back to EOYR – Expenditure Correction](#)

Organisation Variations

Organisation – Add/Update

Add Project Variation

Add/Update

Select Organisation

Or

Search

Role

Effective Date

Enter effective date

Add Cancel

- Select *Add/Update* from the 'Organisation' category within the 'Add Project Variation' drop-down.
- Using the 'Select Organisation' drop-down, select the organisation that you intend on updating on the project. Alternatively, if the organisation is not already on the project, you may search for the organisation's name using the search functions.
- Select the organisation role from the 'Role' drop-down.
Please note: Only Universities which are considered eligible organisations can be added to projects as an 'Other Eligible Organisation'. Please refer to the general eligibility requirements for organisations as defined in the relevant ARC Agreement.
- Provide the date that this is to take effect in the 'Effective Date' box as per the Agreement by the New Organisation.
- Select 'Add'
- Provide details of why the new organisation is to be added in the Justification box (up to 500 words).
- Select the 'Choose File' button and then press '**Upload**' to attach the relevant documentation for the entire request, noting that it can only be one attachment.
- 'Save' and 'Close' the request.
- Check the variation details and submit to the ARC.

If an organisation cannot be found in RMS, the Research Office will need to collect the relevant information from the Organisation and follow the below links to add the Organisations in RMS.

To Request an International Organisation for RMS use this link:

<https://rms.arc.gov.au/RMS/Organisation/Manage/CreatePendingOrg>

Please translate the organisation name into English if applicable.

To add Australian Organisations with an ABN in to RMS use this link:

<https://rms.arc.gov.au/RMS/Organisation/Manage/AddOrganisation>

Note: The only organisations RMS Support will create manually are Australian organisations without an ABN, small community groups etc. In this instance, please contact ARC helpdesk with all the details of the organisation: email ARC-Systems@arc.gov.au

[Back to Organisation – Add/Update](#)

Organisation – Withdraw



The screenshot shows a web form titled 'Add Project Variation'. At the top, there is a dropdown menu with 'Withdraw' selected. Below this are two main sections: 'Organisations' and 'Effective Date'. Each section has a text input field. To the right of the 'Organisations' field is a red arrow pointing left and the text 'Enter Organisation to be Withdrawn'. To the right of the 'Effective Date' field is a red arrow pointing left and the text 'Enter Effective Date for Withdrawal from Project'. At the bottom left of the form are two buttons: 'Add' (which is circled in red) and 'Cancel'.

- Select *Withdraw* from the 'Organisation' category within the 'Add Project Variation' drop-down.
- Using the 'Organisations' drop-down, select the organisation that you intend to withdraw from the Project.
- Provide the date that this is to take effect in the 'Effective Date' field as per the written agreement by the organisation.
- Select 'Add'
- Provide details of why the organisation is being removed in the Justification box (up to 500 words).
- Select the 'Choose File' button and then press '**Upload**' to attach the relevant documentation for the entire request, noting that it can only be one attachment.
- 'Save' and 'Close' the request.
- Check the variation details and submit to the ARC.

[Back to Organisation – Withdraw](#)

Personnel Variations

Person – Add/Update

Add Project Variation

Add/Update

Select Participant

←
Select participant already on project

Or

Search for Person

←
Use the 'Search' function to select new participant

Role

←
If changing role, select new role. If the same role, select current role

Project Leader ☐

Affiliated Organisation

FTE Effective Date
FTE

Add FTE

Effective Date

Enter effective date

←
Enter the date in which the change took place

Add

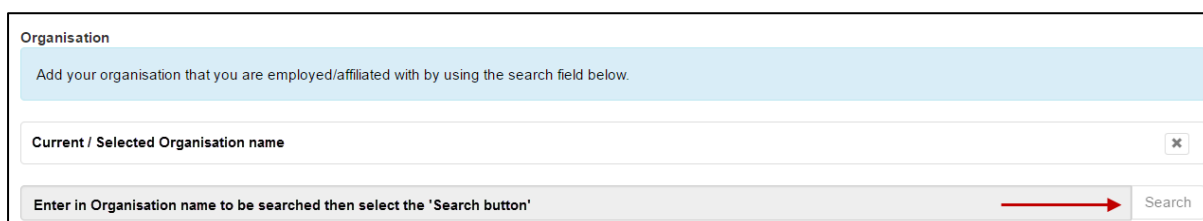
Cancel

- Select *Add/Update* from the 'Person' category within the 'Add Project Variation' drop-down.
- Using the 'Select Participant drop-down, select the organisation that you intend on updating on the project. Alternatively, if the person is not already on the project, you may search for their name using the search functions.
- Select the Participant role from the 'Role' drop-down menu.
- Select the Affiliated Organisation from the 'Role' drop-down, relevant to this person's role on the Project. If the Organisation does not appear, please refer to the ['Updating Affiliated Organisation'](#) instructions.
- Select 'Add FTE' and enter the FTE fraction and effective date. Noting that multiple dates may be entered in this field by selecting the 'Add FTE' button each time to add in a new FTE fraction, if required. **Note:** The system has a minimum 0.1 FTE. Please add a note in the Justification field if it is lower than this.
- Provide the date that this is to take effect in the 'Effective Date' box. This should reflect the date in which the change took place.
- Select 'Add'

- h) Provide details on why the person is being added to the project in the Justification box (up to 500 words).
- i) Select the 'Choose File' button and then press '**Upload**' to attach the relevant documentation for the entire request, noting that it can only be one attachment. (Note – documentation is not required for notifying a PDRA/PGR placement)
- j) 'Save' and 'Close' the request.
- k) Check the variation details and submit to the ARC.

[Back to Person – Add/Update](#)

Updating an Affiliated Organisation in an investigators RMS profile



The screenshot shows a web form titled 'Organisation'. Below the title is a light blue instruction box: 'Add your organisation that you are employed/affiliated with by using the search field below.' Below this is a white input field labeled 'Current / Selected Organisation name' with a small 'x' button on the right. At the bottom is a grey search bar with the placeholder text 'Enter in Organisation name to be searched then select the 'Search button'' and a red arrow pointing to a 'Search' button.

- a) Look up the person's profile in RMS, by searching their name under the Mange Users link in the RMS Activity Centre.
- b) Once you have selected the person, select the link to Employment History.
- c) Select the relevant organisation that you want to edit.
- d) Scroll to the bottom of the page and remove the Organisation name from the base of the screen by using the 'x' button.
- e) Search for the Organisation's name by typing in the Organisation's name in the last row and selecting the 'Search' button.
- f) Once the correct name is found, it can be selected by using the 'Select' button.
- g) 'Save' and 'Close' the record. The profile will then be updated and the name will appear in the dropdown menu in the Variation.

Tip: If you cannot see the person's profile, please contact either the researcher or ARC Helpdesk at ARC-Systems@arc.gov.au to fix this issue.

[Back to Person – Add/Update](#)

Person – Additional Appointments

Add Project Variation

Additional Appointment Notification ▼

Select Participant

Enter description of additional appointment

Description

Enter start date

Start Date

Enter end date

End Date

Add Cancel

- Select *Additional Appointment Notification* from the 'Add Project Variation' drop-down.
- Using the 'Select Participant' drop-down select the participant for whom is requesting the additional appointment notification.
- Provide a description of the additional appointment, ensuring that the additional information is provided, where possible:
 - What organisation the additional appointment will be located at.
 - What activities the participant will engage in.
 - How much the participant will be remunerated.
 - The new FTE for the Project and Appointment.
- Enter the intended appointment start and end dates into the appropriate fields.
- Select 'Add'
- Provide details on how this additional appointment will enhance the project in the Justification box (up to 500 words).
- Documentation is not required for this type of variation, unless requested by the ARC.
- 'Save' and 'Close' the request.
- Check the variation details and submit to the ARC.

Please note: the additional appointment start and end dates cannot be entered in RMS outside of the start and end dates of the Project.

[Back to Person – Additional Appointments](#)

Person – Defer Commencement

The screenshot shows a web form titled 'Add Project Variation'. At the top, there is a dropdown menu with 'Defer Commencement' selected. Below this is a section titled 'Select Participant / Award' with an empty dropdown field. To the right of this field is a red arrow pointing left and the text 'Select participant on Project'. Below that is a section titled 'Current Commencement Date' with a greyed-out field. To the right of this field is a red arrow pointing left and the text 'Current Commencement Date will autopopulate unless already approved'. Below that is a section titled 'New Commencement Date' with a text input field containing the placeholder 'Enter new commencement date'. At the bottom left, there are two buttons: 'Add' (which is circled in red) and 'Cancel'.

- a) Select *Defer Commencement* from the 'Person' category within the 'Add Project Variation' drop-down.
- b) Using the 'Select Participant/Award' drop-down select the participant/role for whom you need to defer the commencement.
- c) Provide the revised commencement date into the 'New Commencement Date' field.
- d) Select 'Add'.
- e) Provide details of the deferment in the Justification box (up to 500 words).
- f) Documentation is not required for this type of variation, unless requested by the ARC.
- g) 'Save' and 'Close' the request.
- h) Check the variation details and submit to the ARC.

[Back to Person – Defer Commencement](#)

Person – Suspension

The screenshot shows a web form titled 'Add Project Variation'. At the top, there is a dropdown menu with 'Suspension' selected. Below this, the form is divided into sections. The 'Select Participant' section has a text input field with a red arrow pointing to it and the label 'Select participant'. The 'Suspension Start Date' section has a text input field with a red arrow pointing to it and the label 'Enter suspension start date'. The 'Suspension End Date' section has a text input field with a red arrow pointing to it and the label 'Enter suspension end date'. At the bottom left, there are two buttons: 'Add' (circled in red) and 'Cancel'.

- a) Select *Suspension* from the 'Person' category within the 'Add Project Variation' drop-down.
- b) Select the relevant participant from the drop down menu.
- c) Enter the intended suspension start and end dates into the appropriate fields.
- d) Select 'Add'.
- e) Provide details of the suspension in the Justification box (up to 500 words).
- f) Documentation is not required for this type of variation, unless requested by the ARC.
- g) 'Save' and 'Close' the request.
- h) Check the variation details and submit to the ARC.

[Back to Person – Suspension](#)

Person – Withdraw V2

Add Project

Variation

Withdraw V2

Select Participant

Reason

Effective Date

Enter effective date

Add

Cancel

- a) Select *Withdraw V2* from the 'Person' category within the 'Add Project Variation' drop-down.
- b) Using the 'Select Participant' drop-down, select the participants that you intend to withdraw from the Project.
- c) Using the 'Reason' drop-down, select the reason for their withdrawal from the project.
- d) Provide the date that this is to take effect in the 'Effective Date' field. The effective date should match the date the person is being removed.
- e) Select 'Add'.
- f) Describe why the person is being withdrawn in the Justification box. If a Reason of 'Other' is selected further detail should be provided (up to 500 words).
- g) Select the 'Choose File' button and then press '**Upload**' to attach the relevant documentation for the entire request, noting that it can only be one attachment.
- l) 'Save' and 'Close' the request.
- m) Check the variation details and submit to the ARC.

[Back to Person – Withdraw V2](#)

Project Variations

Project – Defer Commencement

Add Project Variation

Defer Commencement

Current Project Start Date	01/07/2011	←	Current Project Start Date will auto populate
Current Project End Date	31/12/2015	←	Current Project End Date will auto populate
Defer Project Start Date	Enter new project start date	←	Enter revised project start date
Defer Project End Date	Enter new project end date	←	Enter revised project end date

Add

Cancel

- Select *Defer Commencement* from the 'Project' category of the 'Add Project Variation' drop-down.
- Provide the new start date in the 'Defer Project Start Date' field. Provide the new end date in the 'Defer Project End Date' field- ensuring the correct project duration.
- Select 'Add'.
- Provide details on why the project is to be deferred in the Justification box (up to 500 words).
- Documentation is not required for this type of variation, unless requested by the ARC.
- 'Save' and 'Close' the request.
- Check the variation details and submit to the ARC.

Please note: the deferred start date must not be before the intended date of funding commencement, as indicated in the warning text which appears if the dates are entered incorrectly.

[Back to Project – Defer Commencement](#)

Project – End date

The screenshot shows a web form titled 'Add Project Variation'. At the top is a dropdown menu with 'End Date' selected. Below this are two sections: 'Current Project End Date' and 'New Project End Date'. The 'Current Project End Date' section has a text field containing '31/12/2015' and a red arrow pointing to it from the right, with the text 'Current Project End Date will auto populate' to its right. The 'New Project End Date' section has a text field with the placeholder 'Enter new project end date' and a red arrow pointing to it from the right, with the text 'Enter revised Project End Date' to its right. At the bottom left are two buttons: 'Add' (circled in red) and 'Cancel'.

- Select *End Date* from the 'Add Project Variation' drop-down.
- Provide a revised Project end date in the field provided.
- Select 'Add'.
- Provide details on why the project date is to be changed in the Justification box (up to 500 words).

If this is for an eligibility reason, please state this in the Justification.

- Documentation is not required for this type of variation, unless requested by the ARC.
- 'Save' and 'Close' the request.
- Check the variation details and submit to the ARC.

Please refer to [Appendix C](#) for guidance on calculating new End Dates due to FTE changes.

[Back to Project – End date](#)

Project – Full Relinquishment

The year in the variation line will refer to funding year rather than calendar year. For data entry purposes, spending must be reported against funding year rather than calendar year such that a prior year's funding is exhausted before the following year's funding can be reported as spent.

Add Project Variation

Full Relinquishment V2

Please note, each year must be completed to calculate correctly.

Allocation Years: 2022, 2023, 2024

Indexed Amount will only appear once Indexation has been applied

Amount spent to date

Category	Amount	Indexed Amount	Amount Spent
Project Funds	\$48,481.00	\$55,561.00	\$
Salary	\$106,194.00	\$121,702.21	\$

Amount To Be Relinquished

Relinquishment Reason

Automatically calculated Amount to be relinquished = (indexed) amount minus amount spent in each year

Project Start Date

01/01/2022

Select the most appropriate relinquishment reason from the drop-down menu

Project End Date

11/09/2024

Add Cancel

Update project end date to reflect relinquishment effective date

- Select *Full Relinquishment V2* from the 'Add Project Variation' drop-down.
- For each year of original allocation, enter the full amount of Project and Salary (if applicable) funding that has been spent to date in the 'New Amount' column provided. This Variation type requires that an amount be entered into all 'New Amount' fields, for each year. Amount spent for previous years must show as fully expended before moving on to the next year.

NOTE: Amount to be relinquished will automatically calculate as you complete each years Amount Spent.

NOTE: Amounts are against the funding year not based on actual expenditure as per the EOYR

- Provide a Reason for Relinquishment from the dropdown options. If the reason for relinquishment isn't available, please choose other and provide a reason in the justification.
- Provide a revised Project end date in the field provided.
- Select 'Add'.
- Provide details on the relinquishment in the Justification box (up to 500 words).
Also include in the justification what the Administering Organisation has spent Year to Date giving a breakdown of Project and Salary for the period.
- Documentation is not required for this type of variation, unless requested by the ARC.
- 'Save' and 'Close' the request.
- Check the variation details and submit to the ARC.

Project – Partial Relinquishment

The year in the variation line will refer to funding year rather than calendar year. For data entry purposes, spending must be reported against funding year rather than calendar year such that a prior year's funding is exhausted before the following year's funding can be reported as spent.

Add Project Variation

Partial Relinquishment

2015
2016
2017
2018
Allocation Years

Indexed Amount will only appear once Indexation has been applied

Amount spent to date

Category	Amount	Indexed Amount	Amount Spent
Project Funds	\$95,000.00	\$98,345.40	\$ <input type="text"/> .00

Effective Date

Enter effective date

Amount to be relinquished = (Indexed) Amount minus Amount Spent in each year.

Add
Cancel

Please note, only fill in the relevant year to be changed

- Select *Partial Relinquishment* from the 'Add Project Variation' drop-down.
- For each year, enter the amount of Project and/or Salary funding that has been spent to date in the 'New Amount' column provided.
- Provide the date that this is to take effect in the 'Effective Date' box.
- Select 'Add'.
- Provide details on the relinquishment in the Justification box (up to 500 words).
- Documentation is not required for this type of variation, unless requested by the ARC.
- Save and Close the request'.
- Check the variation details and submit to the ARC.

[Back to Project – Partial Relinquishment](#)

Project – Salary Conversion

The year in the variation line will refer to funding year rather than calendar year. For data entry purposes, spending must be reported against funding year rather than calendar year such that a prior year's funding is exhausted before the following year's funding can be reported as spent.

Add Project Variation

Salary Conversion

Select Participant/Award

Allocation Years

2014 2015 2016 2017 2018 2019

Amount spent to date

Indexed Amount will only appear once Indexation has been applied

Category	Amount	Indexed Amount	Amount Spent
Project Funds	\$107,758.00	\$111,552.66	\$ 111,552 .66
Salary	\$386,242.00	\$399,843.39	\$.00

Effective Date

Enter effective date

Amount to remain as Salary funds

Add Cancel

Project funds amount spent will automatically update once the Salary funds have been altered.

- Select *Salary Conversion* from the 'Add Project Variation' drop-down.
- Select the participant for which the salary is being converted, from the 'Select Participant/Award' drop-down.
- For each year, calculate the remaining funds that are to be held as Salary, by subtracting the amount to be converted from the Salary 'Indexed Amount', as shown in the above example. This will automatically calculate the new 'Project Funds' as shown in the above example.
- Provide the date that this is to take effect in the 'Effective Date' box.
- Select 'Add'.
- Provide details on the conversion in the Justification box (up to 500 words).
- Documentation is not required for this type of variation, unless requested by the ARC.
- 'Save' and 'Close' the request.
- Check the variation details and submit to the ARC.

[Back to Project – Salary Conversion](#)

Project – Salary Relinquishment

The year in the variation line will refer to funding year rather than calendar year. For data entry purposes, spending must be reported against funding year rather than calendar year such that a prior year's funding is exhausted before the following year's funding can be reported as spent.

Add Project Variation

Salary Relinquishment

Select Participant/Award

Allocation Years

2014 2015 2016 2017 2018 2019

Amount spent to date

Indexed Amount will only appear once Indexation has been applied

Category	Amount	Indexed Amount	Amount Spent
Salary	\$386,242.00	\$399,843.39	\$.00

Effective Date

Enter effective date

Amount to be relinquished = (Indexed) Amount minus Amount Spent in each year.

Please note, only fill in the relevant year to be changed

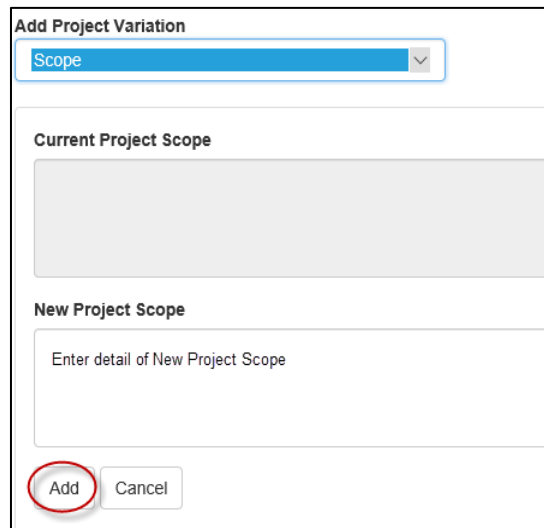
Add Cancel

- Select *Salary Relinquishment* from the 'Add Project Variation' drop-down.
- Select the participant for which the salary is being relinquished, from the 'Select Participant/Award' drop-down.
- For each year, enter the amount of salary funding that has been expended in 'New Amount' column provided.
- Provide the date that this is to take effect in the 'Effective Date' box.
- Select 'Add'.
- Provide details of the relinquishment in the Justification box (up to 500 words).
- Documentation is not required for this type of variation, unless requested by the ARC.
- 'Save' and 'Close' the request.
- Check the variation details and submit to the ARC

Please note: the effective date must not fall outside of the start and end dates of the Project.

[Back to Project – Salary Relinquishment](#)

Project – Scope Change



The screenshot shows a web form titled 'Add Project Variation'. At the top is a dropdown menu with 'Scope' selected. Below this are two text input fields: 'Current Project Scope' (which is currently empty and greyed out) and 'New Project Scope' (which contains the placeholder text 'Enter detail of New Project Scope'). At the bottom of the form are two buttons: 'Add' and 'Cancel'. The 'Add' button is circled in red.

- a) Select *Scope* from the 'Add Project Variation' drop-down.
- b) Provide a revised scope in the 'New Project Scope' field provided.
- c) Select 'Add'.
- d) Provide details of the scope change in the Justification box (up to 500 words).
- e) Documentation is not required for this type of variation, unless requested by the ARC.
- f) 'Save' and 'Close' the request.
- g) Check the variation details and submit to the ARC.

Please note: Currently the scope changes will not appear anywhere on the project details tab. You will only know if the scope has changed when you are entering in a new Variation.

[Back to Project – Scope Change](#)

Project – Suspension

Add Project Variation

Suspension

Project Suspension Start Date
03/08/2011 Enter project suspension start date in line with the relevant Funding Rules

Project Suspension End Date
03/12/2011 Enter project suspension end date in line with the relevant Funding Rules

Add Cancel

- Select *Suspension* from the 'Add Project Variation' drop-down.
- Enter the intended suspension start and end dates into the appropriate fields.
- Select 'Add'.
- Provide details of the suspension in the Justification box (up to 500 words).
- Documentation is not required for this type of variation, unless requested by the ARC.
- 'Save' and 'Close' the request.
- Check the variation details and submit to the ARC

Please note: the suspension start date entered must not be outside the start and end dates of the Project. If the suspension results in the Project end date being changed, this will also have to be either added to the Variation request, or requested separately via another Variation.

[Back to Project – Suspension](#)

Project – Transfer

The year in the variation line will refer to funding year rather than calendar year. For data entry purposes, spending must be reported against funding year rather than calendar year such that a prior year's funding is exhausted before the following year's funding can be reported as spent.

Add Project Variation

Transfer

Current Administering Organisation
Example University

New Administering Organisation
University of Example

Category **Total Allocated** → **Indexed** **Total Project Expenditure**

Project Funds	\$186,777.50	\$ 100000 .00
Salary	\$535,876.00	\$ 400000 .00

Effective Date
01/06/2013

Amount to be transferred = Total Allocated minus Total Project Expenditure
Using the Project Funds figures: \$186,777.50 minus \$100,000 = \$86,775.50

Add Cancel

- Select *Transfer* from the 'Add Project Variation' drop-down.
- Select the Organisation which the Project is being transferred to in the 'New Administering Organisation' drop-down.
- Update or Withdraw the original Administering Organisation, by changing its role or withdrawn it from the Project.
- Provide the **total Project and/or Salary expenditure to date**, via the 'Total Project Expenditure' column. Note, the transfer amount will be calculated by subtracting the reported expenditure from the allocated amount.
- Provide the date that this is to take effect in the 'Effective Date' box.
- Select 'Add'.
- Provide details of the transfer in the Justification box (up to 500 words).
Also include in the justification what the Administering Organisation total expenditure is to the effective date of transfer. If the transfer is throughout a year, the YTD expenditure is also required.
- Select the 'Choose File' button and then press '**Upload**' to attach the relevant documentation for the entire request, noting that it can only be one attachment.
- 'Save' and 'Close' the request.
- Check the variation details and submit to the ARC.

Please note: the 'transfer' variation should be listed as the first request and the 'Add/update Organisation' or 'Withdraw Organisation' variation should be listed second. The arrows on the right side of the screen can be used to change the order of the requests.

[Back to Project – Transfer](#)

Appendix C – Calculating new End Dates due to FTE changes and suspensions

The ARC uses a calculator which has been provided to Research Offices to determine new End Dates based on FTE changes and suspensions.

The ARC acknowledges that some Research Offices may calculate End Dates differently and there can be discrepancies due to different calculations. Generally, if the new End Date has a variance of a few days a from the ARC's calculations, this will be accepted. If there are greater variances, the ARC will contact the Research Office to establish why there is such a difference.

Tip: The ARC calculates dates (including effective dates) as inclusive
